

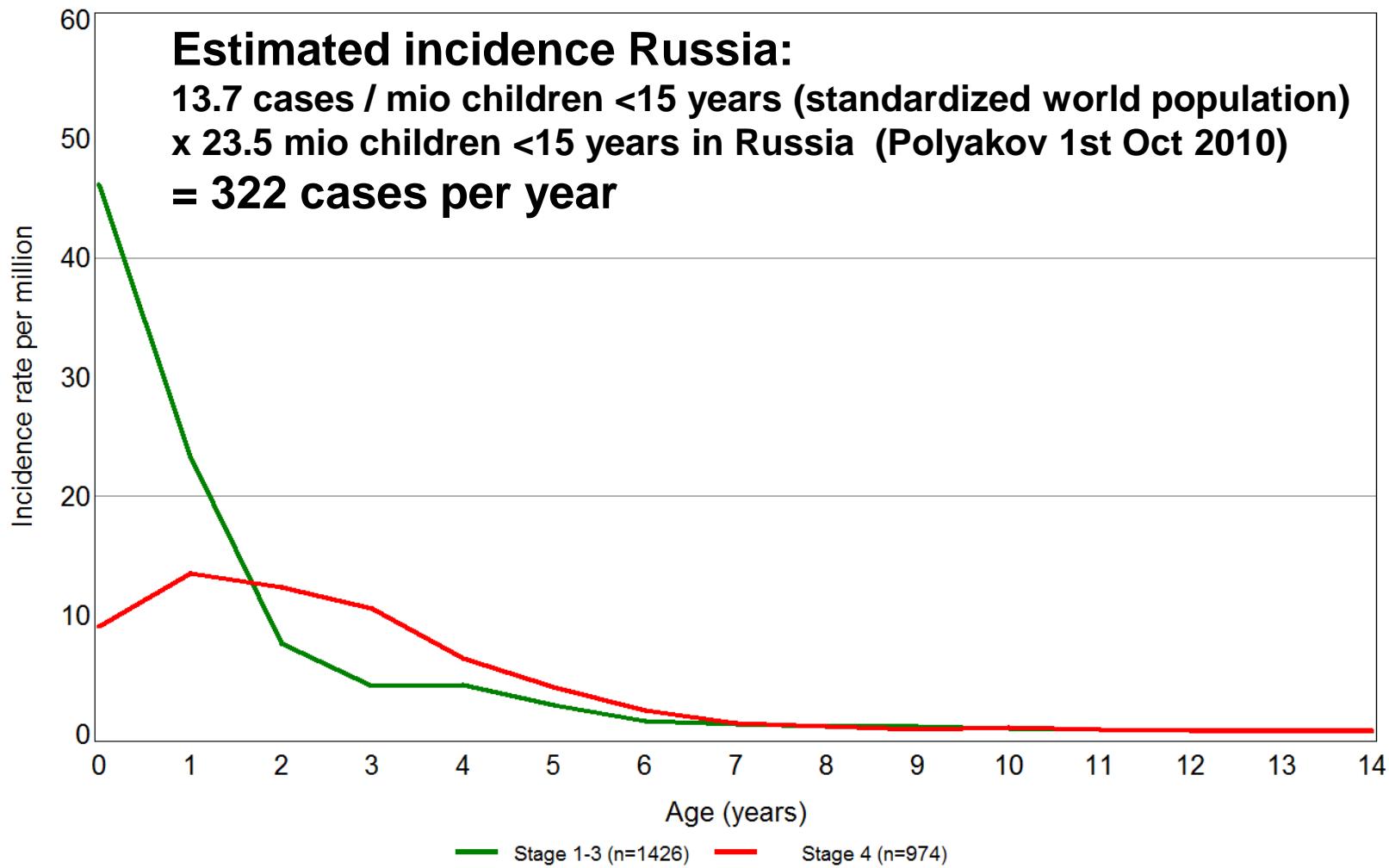


**UNIKLINIK  
KÖLN**

# **Essentials and current options of first and second line treatment in high risk neuroblastoma**

**Achievements and Perspectives of Child Oncology  
VI Russian Pediatric Oncology Congress  
Moscow , 1st-3rd October 2015**

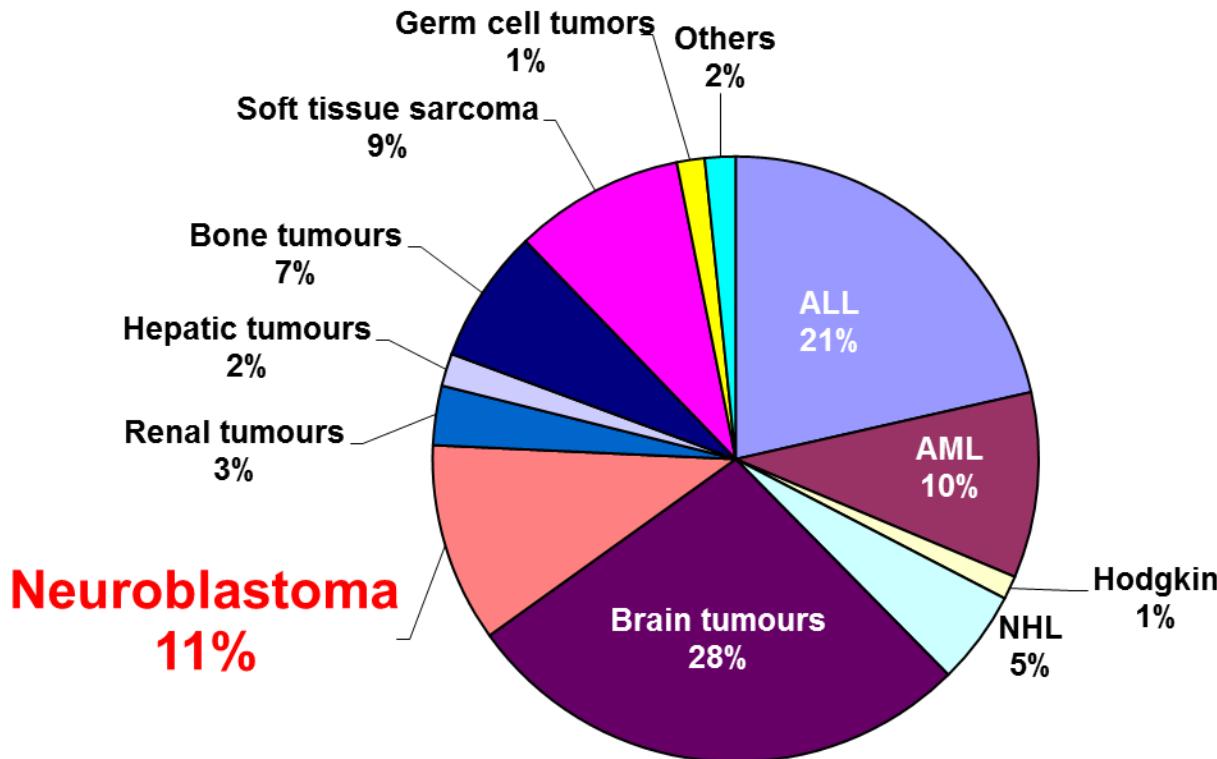
**Frank Berthold, University of Cologne**



**Neuroblastoma incidence in Germany by stage (Children's Cancer Registry, 01/90-07/15),  
n=2401**

# Cancer deaths in childhood

## GCCR 1980–2008, n=10,032<sup>1</sup>



US: Cancer deaths in childhood caused by neuroblastoma in children aged <15 years was 11% between 2007 and 2010 as based on Surveillance, Epidemiology, and End Results (SEER) 9 registries<sup>2</sup>

1. Annual reports GCCR: [www.kinderkrebsregister.de](http://www.kinderkrebsregister.de)
2. Smith MA, et al. Cancer. 2014;120:2497–506

# Molecular markers to describe HR neuroblastoma\*

<u>Non-high risk</u>	<u>n= 103</u>	<u>cases</u>	<u>%</u>
TERT-rearrangement		1	1.0 %
<hr/>			
<u>High-Risk</u>	<u>n= 114</u>		
MYCN amplification		49	43.0 %
TERT-rearrangement		27	23.7 %
Neither		43	37.7 %

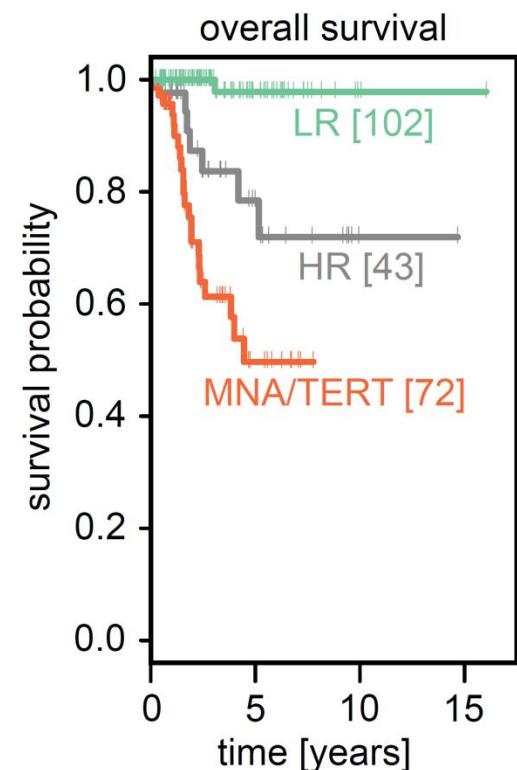
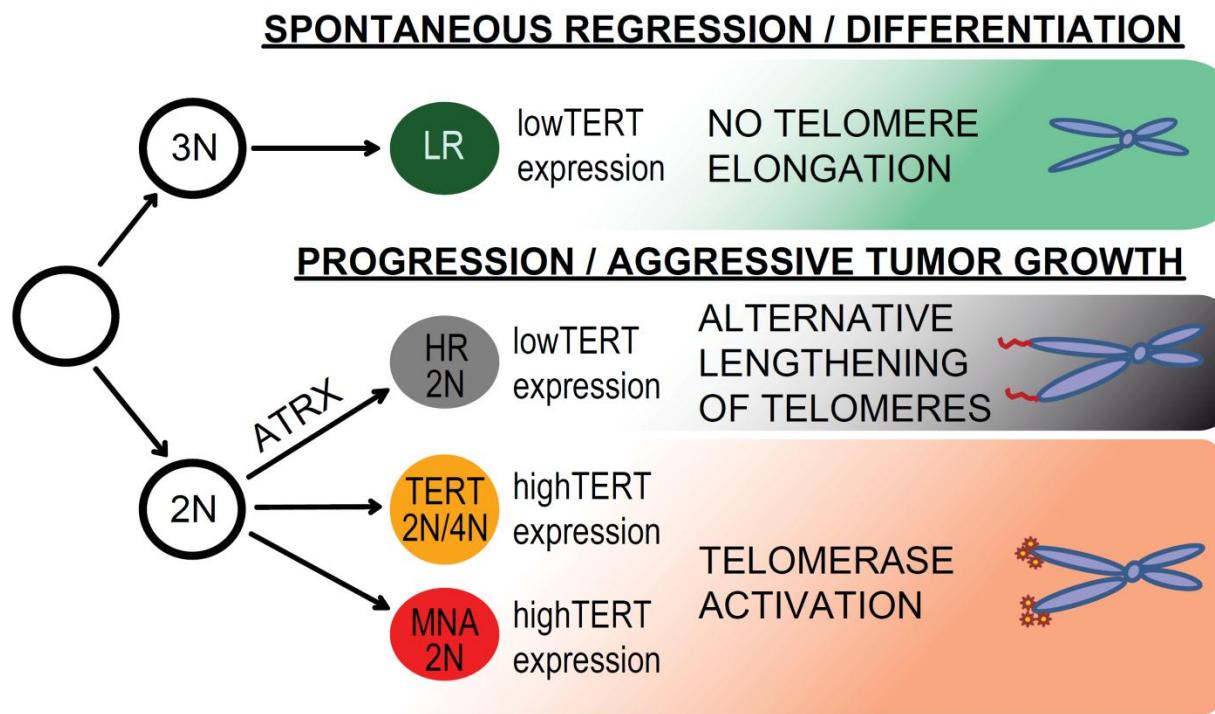
**TERT-r and MNA co-occurrence in 4.4% of HR cases**

\*Peifer M et al: Nature 2015 (in press)

# Definition of high-risk neuroblastoma

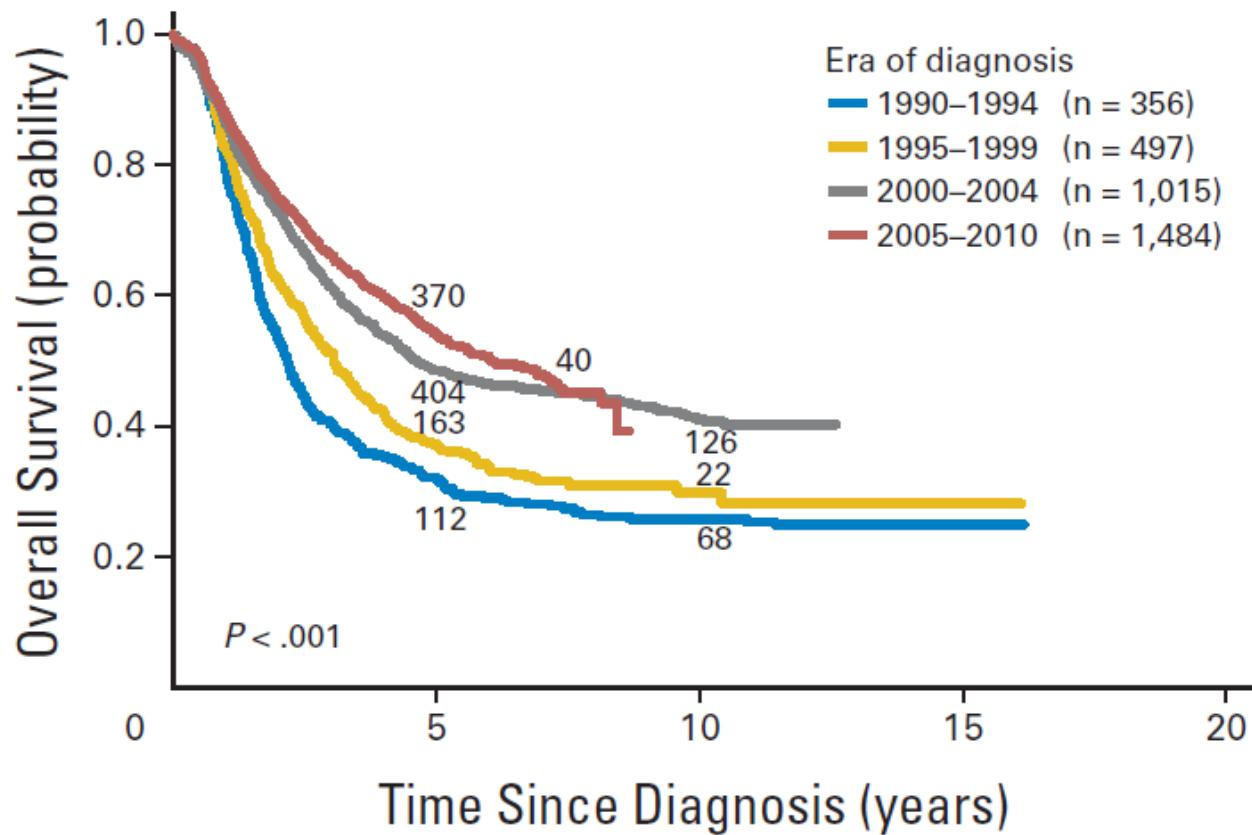
Clinical: stage 4 >18 months, MYCN amplified any stage any age

Molecular: MYCN amplification, TERT rearrangements, ATRX mutations/?\*



\*Peifer M et al: Nature 2015 (in press)

# The 5-year survival rate for neuroblastoma has improved over the last 20 years



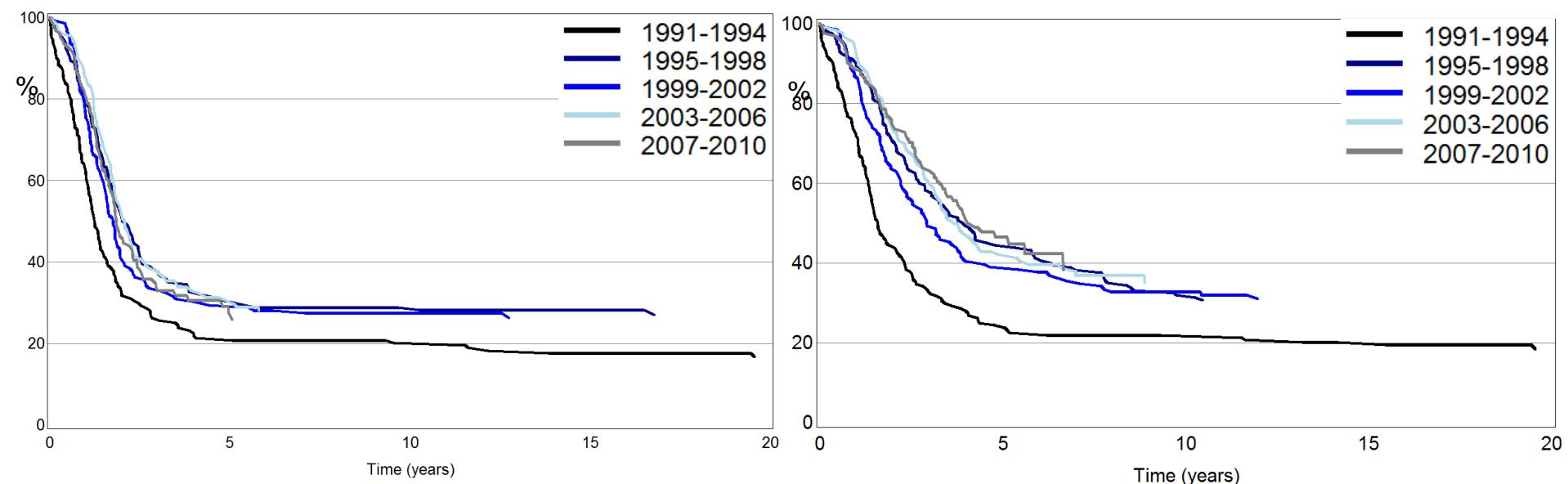
Probability of OS among 3,352 Children's Oncology Group (COG) patients with high-risk neuroblastoma (1990–2010)

Pinto NR, et al. 2015; J Clin Oncol. 2015 Sep;33:3008–17

# However, 5-year survival rates remain unsatisfactory for neuroblastoma stage 4 >18 months

Probability of Event-Free Survival

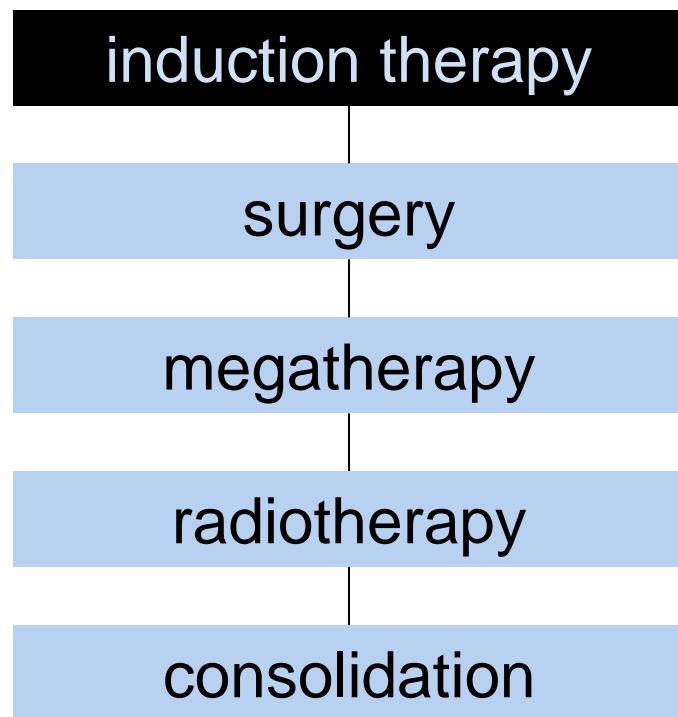
Probability of Overall Survival



Probability of event free and overall survival among 727 patients with stage 4 neuroblastoma, aged >18 months (GPOH, 1991–2010)

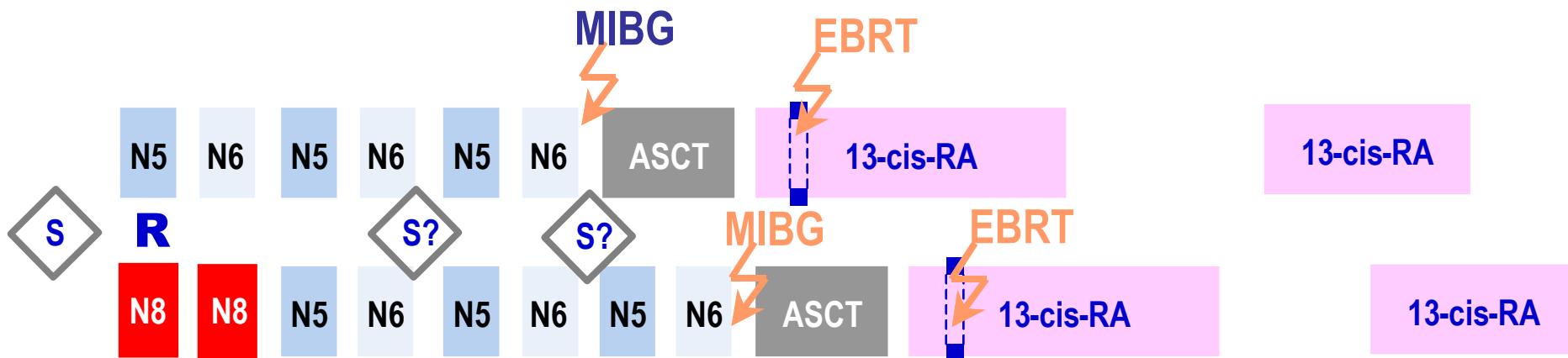
1991–94, n=164; 1995–98, n=161; 1999–2002, n=146; 2003–2006, n=130; 2007–2010, n=126

# Therapy in high risk neuroblastoma

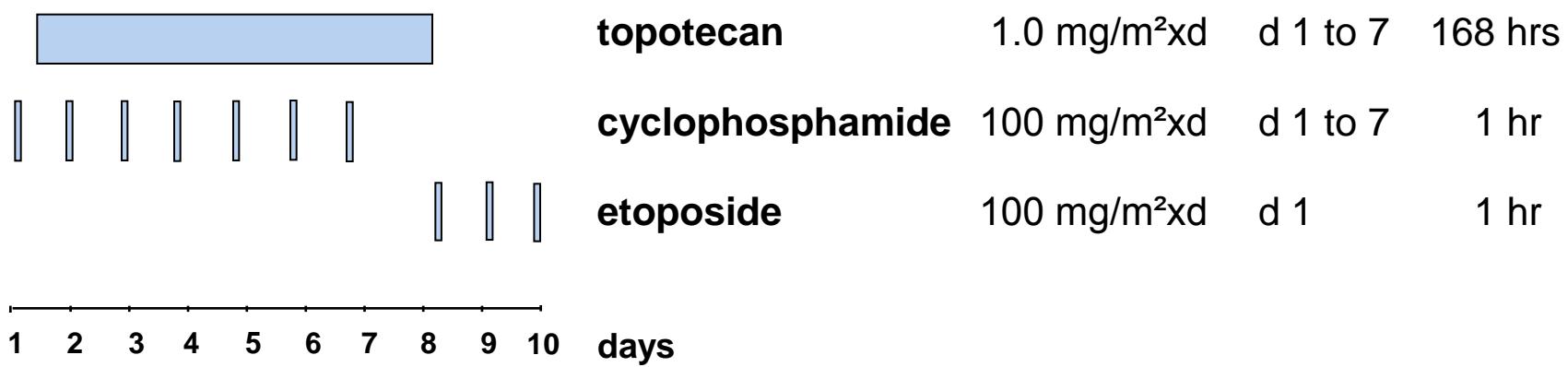


# Trial NB2004 – High Risk

stage 4, 1 – 21 years,  
presence of MYCN-amplification any stage, age 0.5 - 21 years

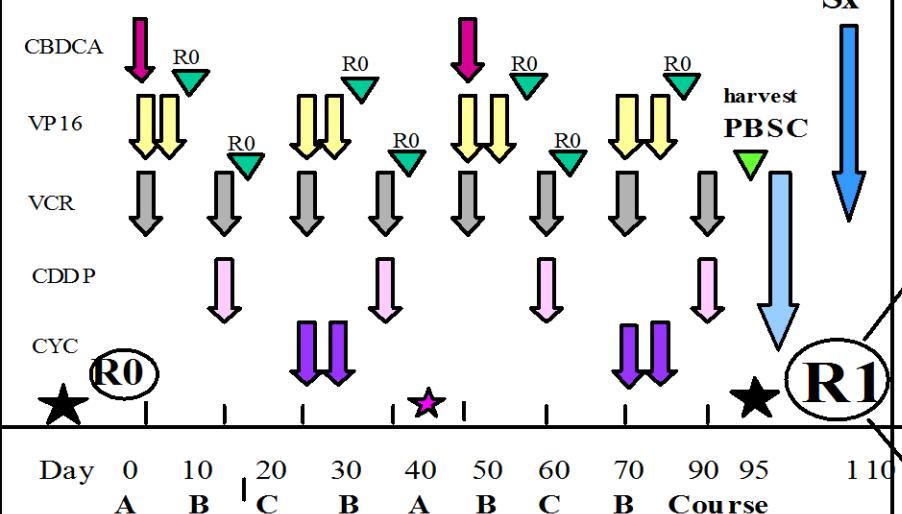


## Cycle N8 (TCE)



# HR-NBL-1 / ESIOP FLOWSHEET

## INDUCTION: Rapid COJEC



## MGT/PBSC

**BU**  
4x 150 mg/m<sup>2</sup>/d p.o.  
**L-PAM**  
140 mg/m<sup>2</sup>/d short i.v.

BuMel

CEM

**CBDCA**  
4x c tn iv 42.5 mg/m<sup>2</sup>  
**VP16**  
4x c tn iv 33.8 mg/m<sup>2</sup>  
**L-PAM**  
3x short iv 70 mg/m<sup>2</sup>

## Rx

21Gy

R2

21Gy

R2A

R2B

R2C

R2D

## MRD Treatment

**Ch 14, 18 anti GD2 A B iv**  
20 mg/m<sup>2</sup>/day x 5 days  
every 4 weeks

21 49 77 105 133

R2B

Days after Start of 13 cis RA

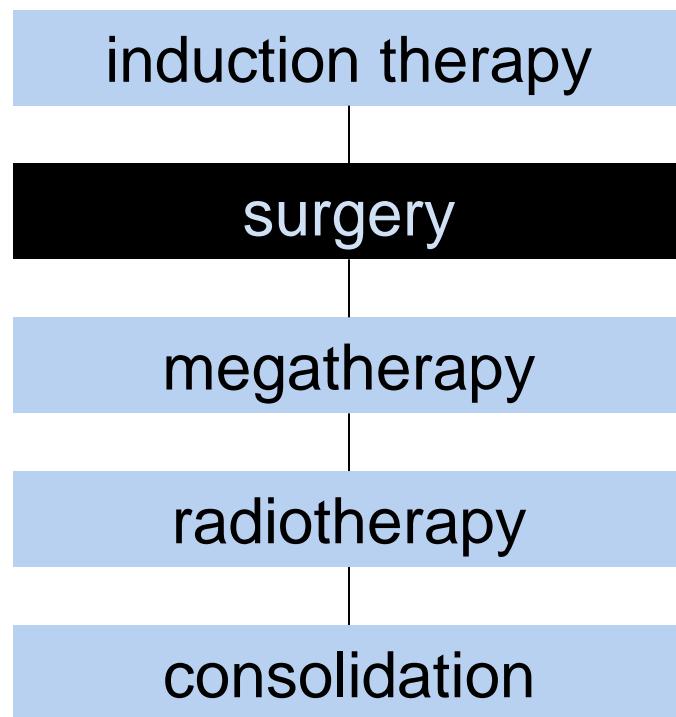
0 28 56 84 112 140

R2A

**13 cis retinoic acid po**  
160 mg/m<sup>2</sup>/day x 14 days  
every 4 weeks

IGR

# Therapy in High Risk Neuroblastoma



# **Impact of surgery on survival in HR neuroblastoma SIOPEN experience\***

## **Inclusion**

**Stage 4 >12 mo., stages 2,3,4,4S with MNA**

**1324 operations**

**operation related mortality 0.5%**

**morbidity 10%.**

## **Radicality**

**Macroscopic complete excision: 76%**

**Macroscopic incomplete: 23%**

**Inoperable: 2%**

**\*Holmes K et al; ANR2014 congress, Cologne 13-16th May, 2014; PL012 p107**

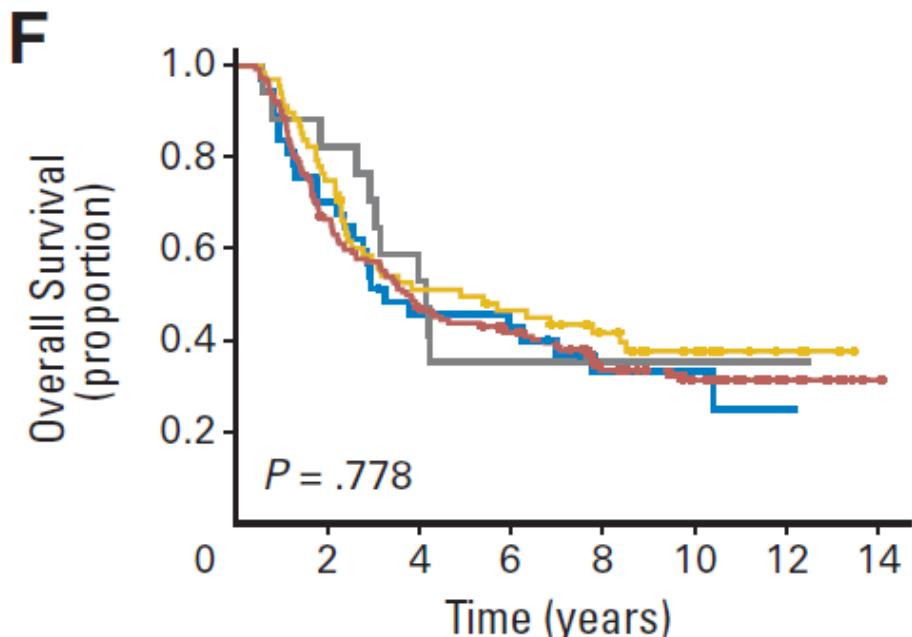
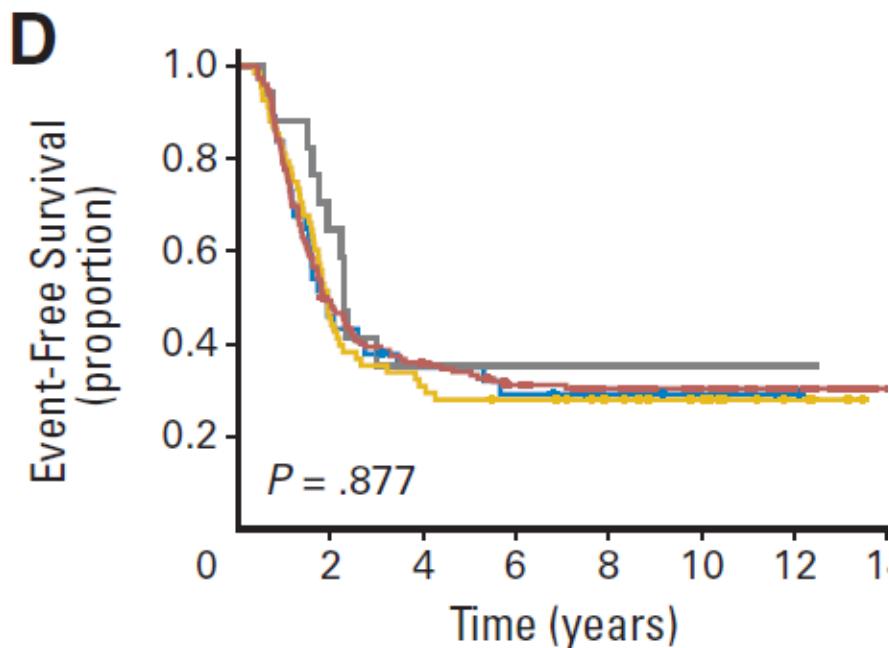
# **Impact of surgery on survival in HR neuroblastoma SIOPEN experience\***

	<b>5 y EFS</b>	<b>5 y OS</b>
<b>all</b>		
<b>Complete (n=1002 pat.)</b>	<b>38%</b>	<b>44%</b>
<b>Incomplete/inoperable</b>	<b>27%</b>	<b>36%</b>
<b>P</b>	<b>0.001</b>	<b>0.013</b>
<b>Stage 4</b>		
<b>Complete (n=895)</b>	<b>33%</b>	<b>27%</b>
<b>Incomplete/inoperable</b>	<b>24%</b>	<b>33%</b>
<b>P</b>	<b>0.006</b>	<b>0.049</b>

**→ macroscopical complete excision is safe  
and confers a survival advantage**

# Impact of surgery on survival in HR neuroblastoma GPOH experience\*

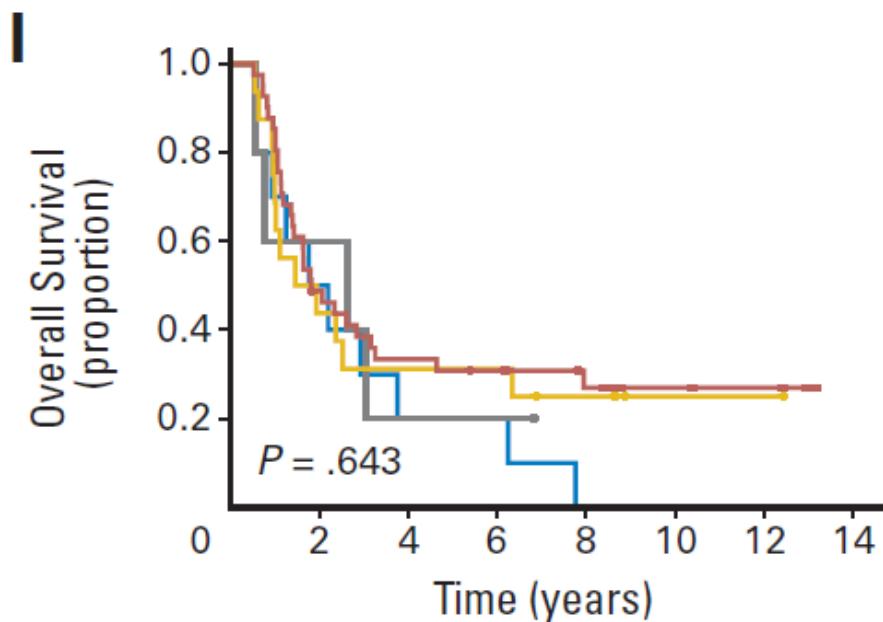
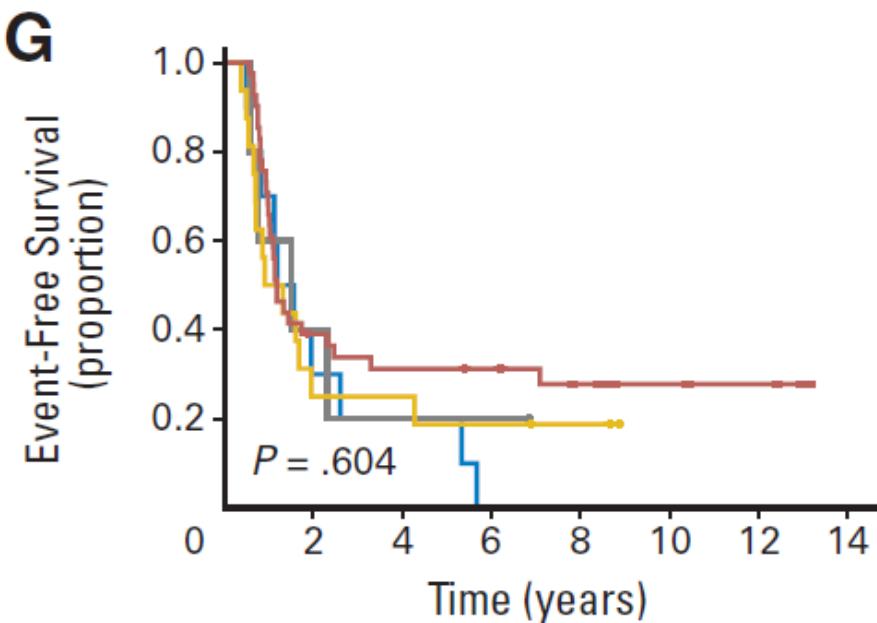
All 278 patients >18 mo stage 4 by extent of best operation  
none + biopsy / 50-90% / >90% / complete



\*Simon T et al. (2013) J Clin Oncol 31:752-8

# Impact of surgery on survival in HR neuroblastoma GPOH experience\*

71 patients with MNA >18 mo. stage 4 by extent of best operation  
none + biopsy / 50-90% / >90% / complete



\*Simon T et al. (2013) J Clin Oncol 31:752-8

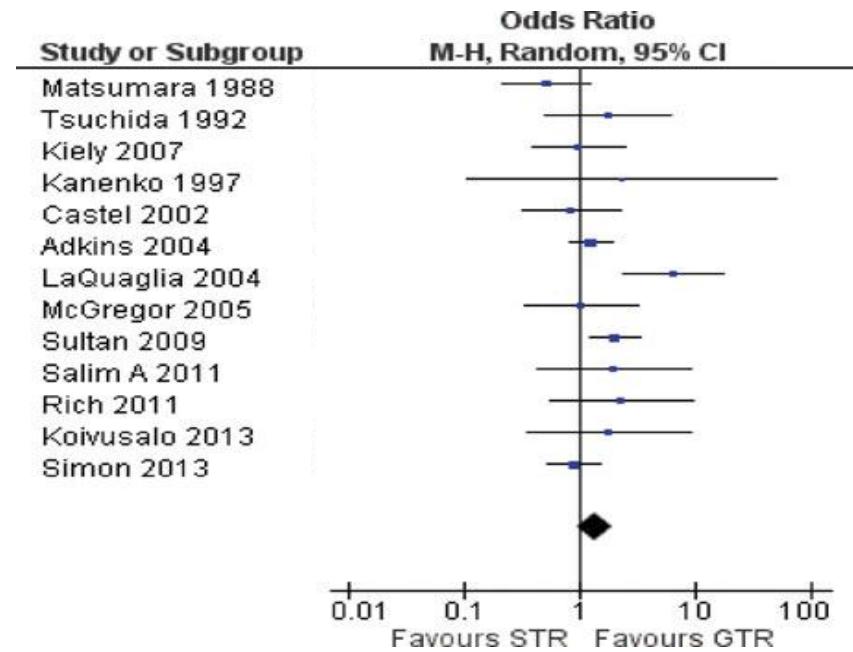
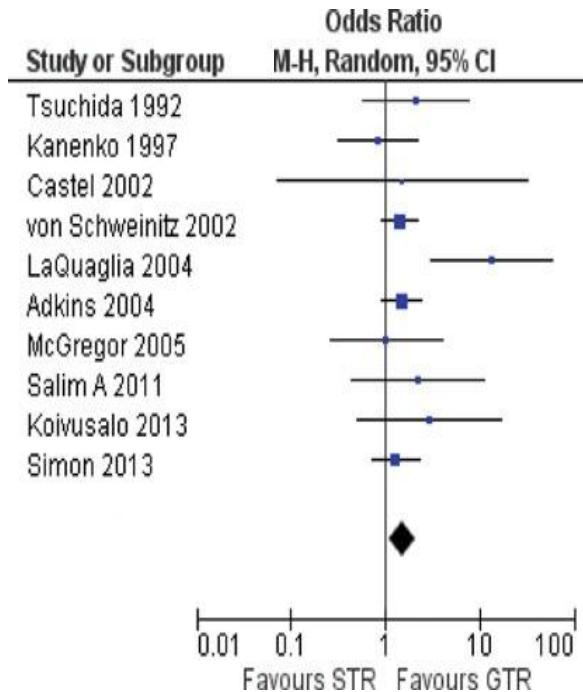
# Metaanalysis on the use of aggressive surgical resection in stage 4 neuroblastoma \*

Inclusion criteria: 13 studies

Gross total resection vs. subtotal resection

Pooled Odds ratio 5 y DFS 1.55 (1.12-2.14)

pooled Odds ratio 5 y OS 1.65 (0.96-1.91)

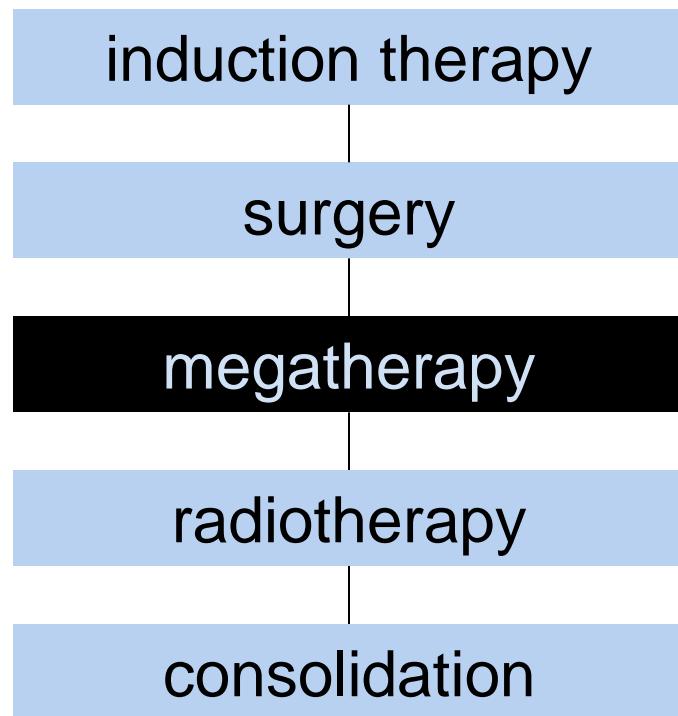


\*Mullassery D et al. Pediatr Hematol Oncol (2014) 31:703-16

## **Differences between the trials to explain the different results and conclusions**

- Different preceding chemotherapy (more-less intensive)
- Different use of other local therapies (radiotherapy)
- No randomized trial on the impact of surgery available

# Therapy in High Risk Neuroblastoma



# Long term results (COG)

<u>Regimen</u>	<u>5 year EFS %</u>	<u>5 year OS %</u>
<i>HR patients</i>		
ABMT (n=190)	30 $\pm$ 4	39 $\pm$ 4
Chemotherapy (n=189)	19 $\pm$ 3	30 $\pm$ 4
P	0.043	n.s.

## *Stage 4 patients:*

ABMT	26 $\pm$ 4	37 $\pm$ 4
Chemotherapy	16 $\pm$ 3	28 $\pm$ 4
P	n.s.	n.s.

# Myeloablative therapy with autologous stem cell transplantation (ASCT) in high risk neuroblastoma

Three randomized trials since 30 years of use

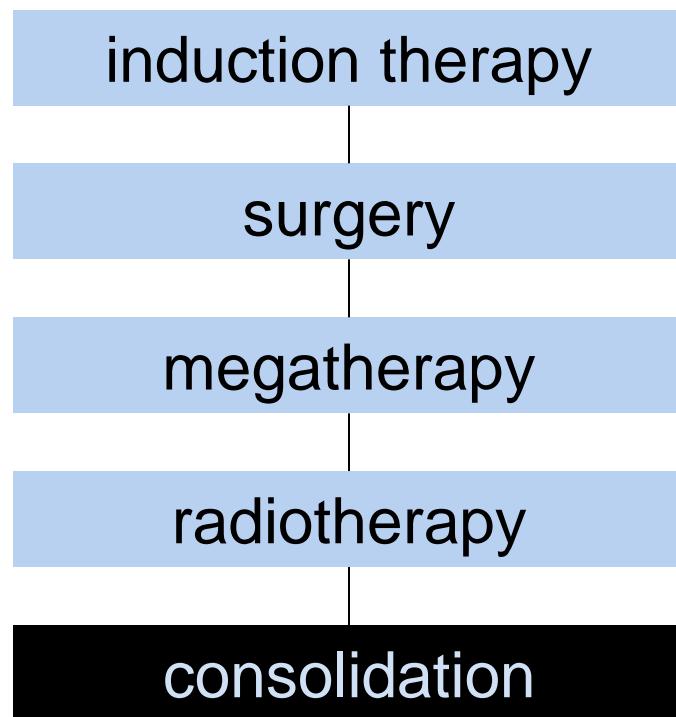
- Matthay KK et al. N Eng J Med, 1999; 341:1165-73
- Pritchard J et al. Pediatr Blood Cancer, 2005; 44: 348-57
- Berthold F et al. Lancet Oncol, 2005; 6: 649-58

=> all in favor of ASCT for EFS

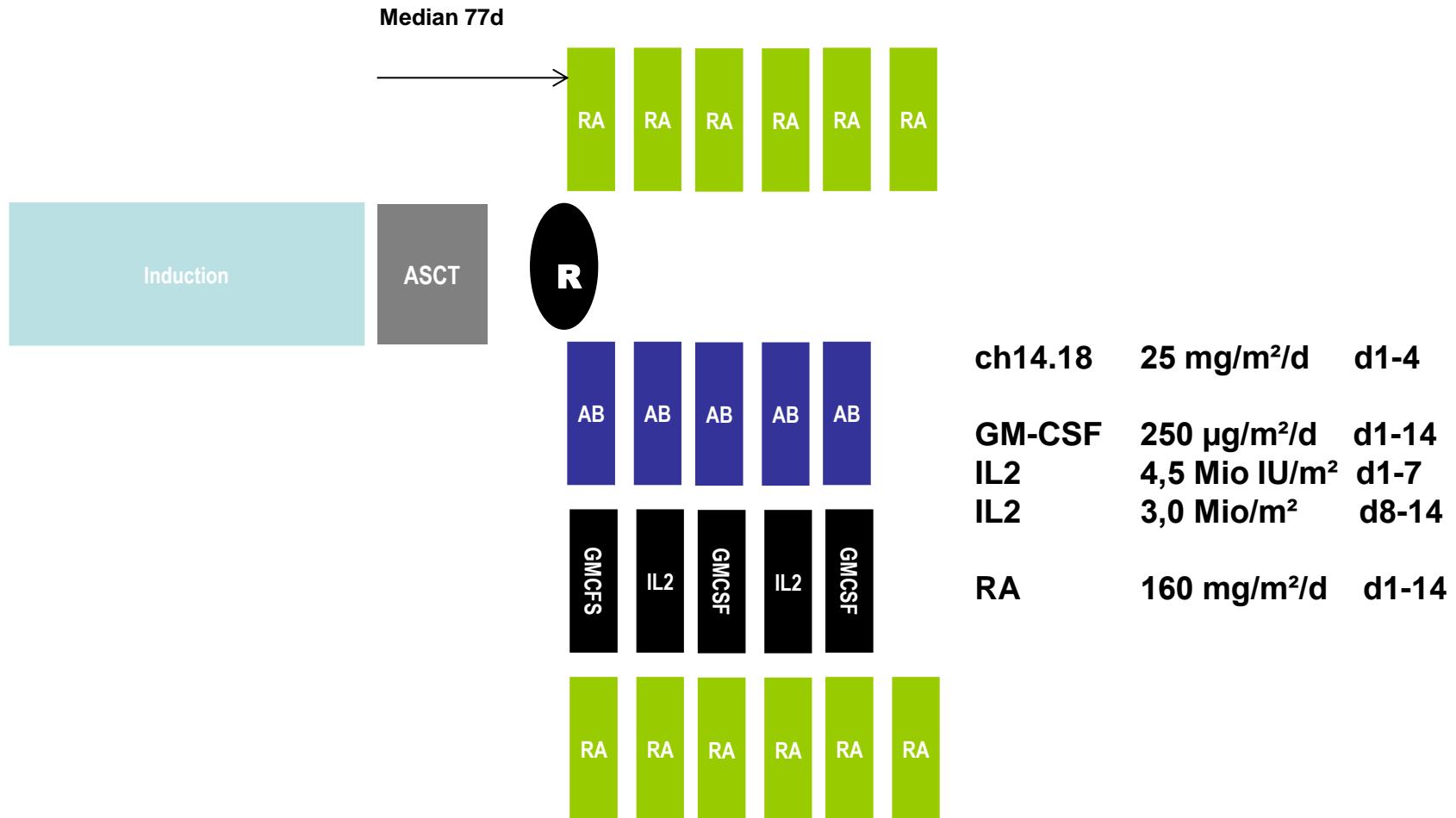
for OS currently no evidence of use (if follow-up data are included)\*

\*Yalcin B et al (2013) Cochrane Database Systematic Reviews; DOI:10.1002/14651858

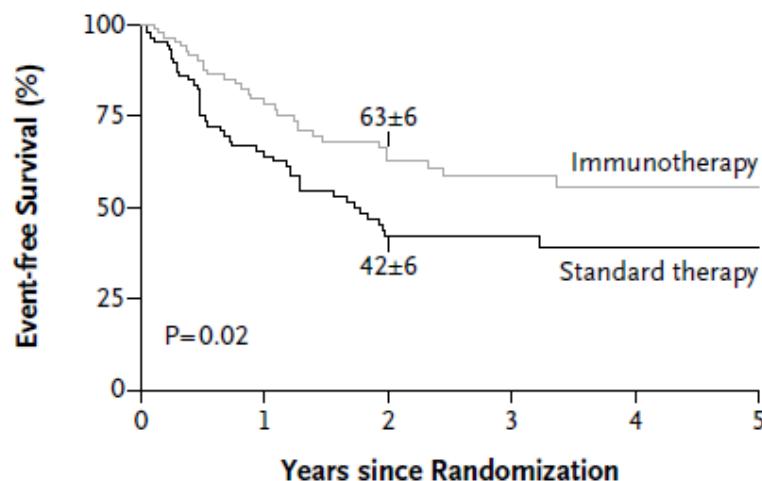
# Therapy in High Risk Neuroblastoma



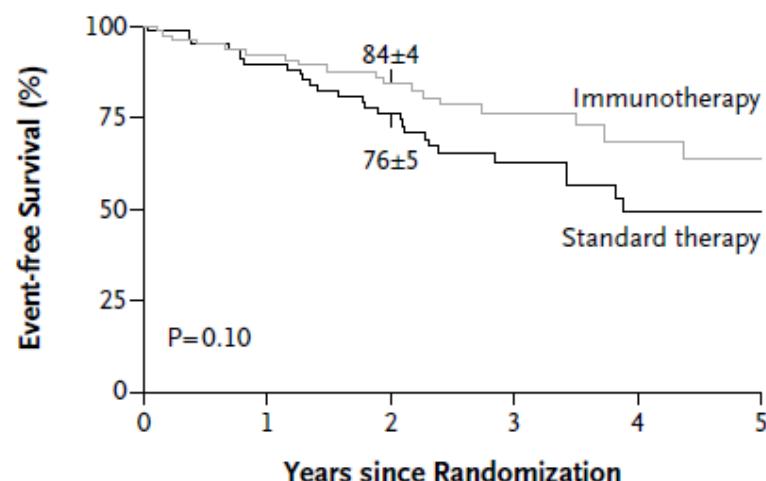
# ANBL0032 trial (COG)



**C Event-free Survival for  $\geq 1$ -Yr-Olds with Stage 4 Disease**



**D Overall Survival for  $\geq 1$ -Yr-Olds with Stage 4 Disease**



No. at Risk						
Immunotherapy	89	56	37	22	11	7
Standard therapy	90	46	26	19	10	8

No. at Risk						
Immunotherapy	89	64	49	30	16	8
Standard therapy	90	65	45	25	12	9

**Figure 2. Kaplan–Meier Estimates of Survival among the 226 Study Patients Who Had Been Randomly Assigned, According to Treatment Group.**

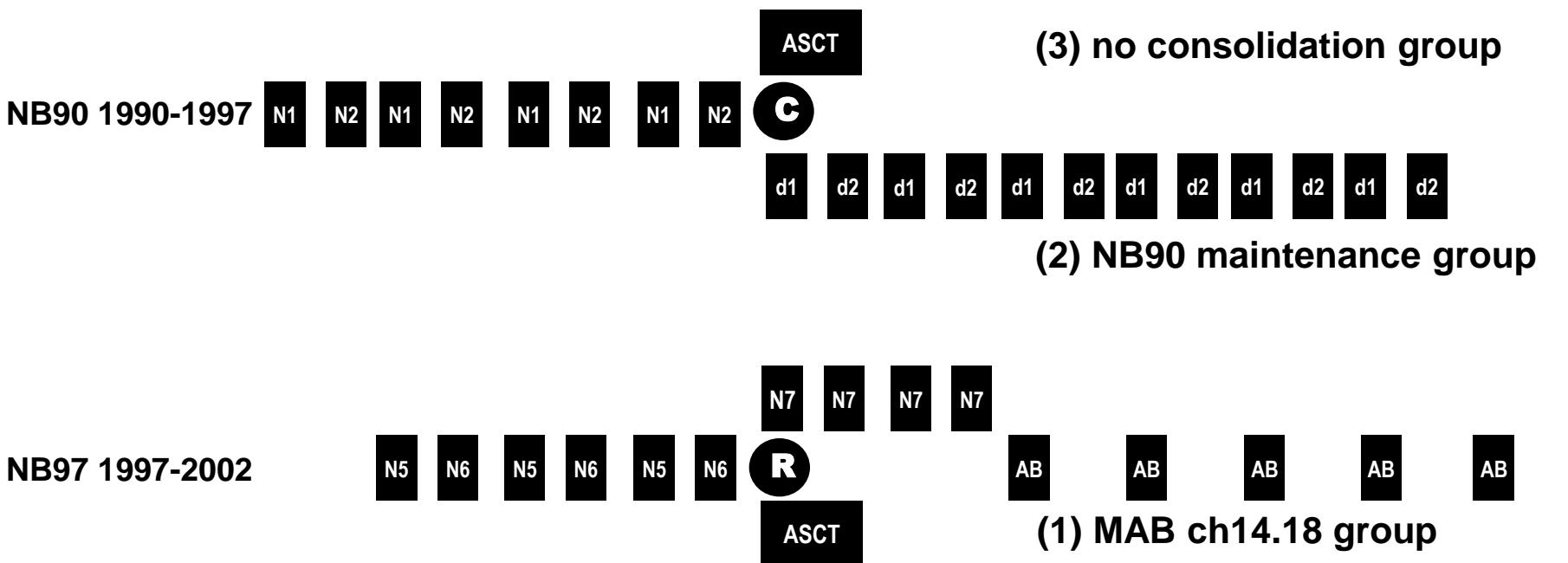
Data are shown for event-free survival (Panel A) and overall survival (Panel B) for all 226 patients and for event-free survival (Panel C) and overall survival (Panel D) for the 179 patients 1 year of age or older at enrollment. The estimated survival ( $\pm$ SE) at 2 years is indicated in each plot.

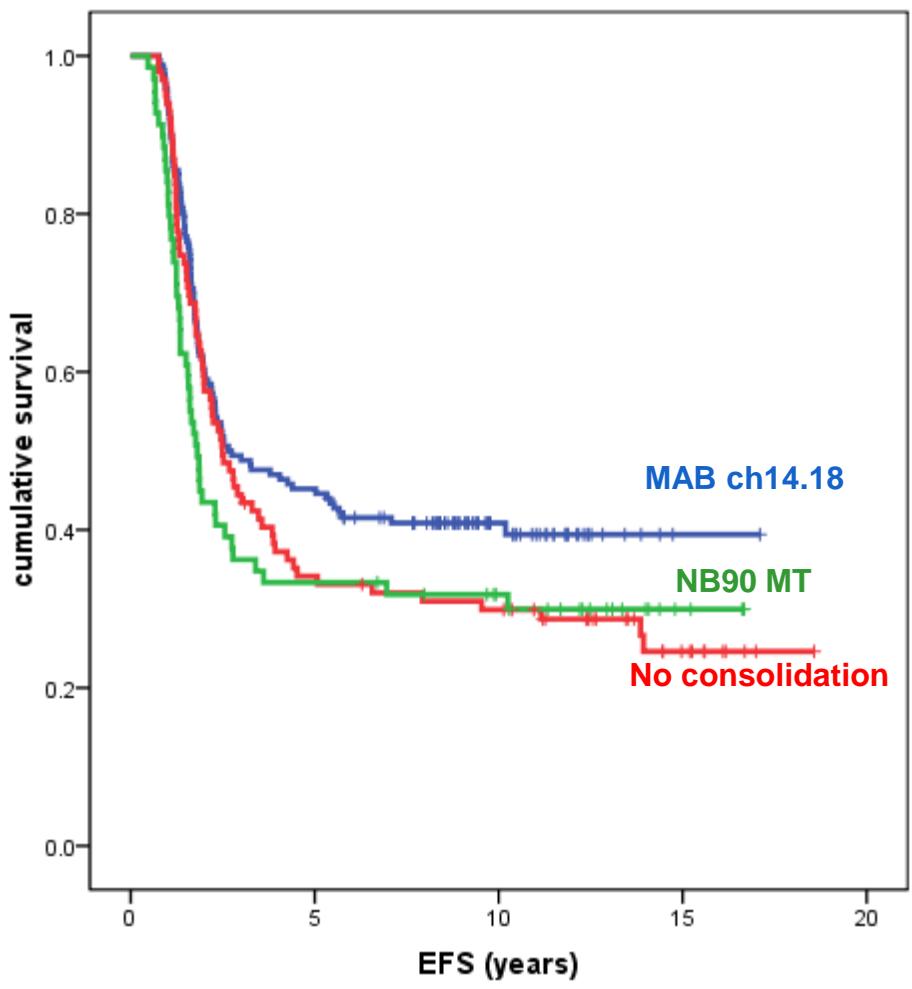
## 2014 Update immunotherapy COG trial\*

	2 year	4 year	p
All patients (n=225)			
EFS immunotherapy	<b>67 ± 4%</b>	<b>59 ± 5%</b>	
RA alone	<b>51 ± 5%</b>	<b>48 ± 5%</b>	<b>0.11</b>
OS immunotherapy	<b>83 ± 4%</b>	<b>74 ± 5%</b>	
RA alone	<b>74 ± 5%</b>	<b>59 ± 5%</b>	<b>0.02</b>
Stage 4 patients (n=180)			
EFS immunotherapy	<b>64 ± 5%</b>	<b>54 ± 5%</b>	
RA alone	<b>45 ± 5%</b>	<b>44 ± 5%</b>	<b>0.10</b>
OS immunotherapy	<b>83 ± 4%</b>	<b>72 ± 5%</b>	
RA alone	<b>75 ± 5%</b>	<b>56 ± 5%</b>	<b>0.02</b>

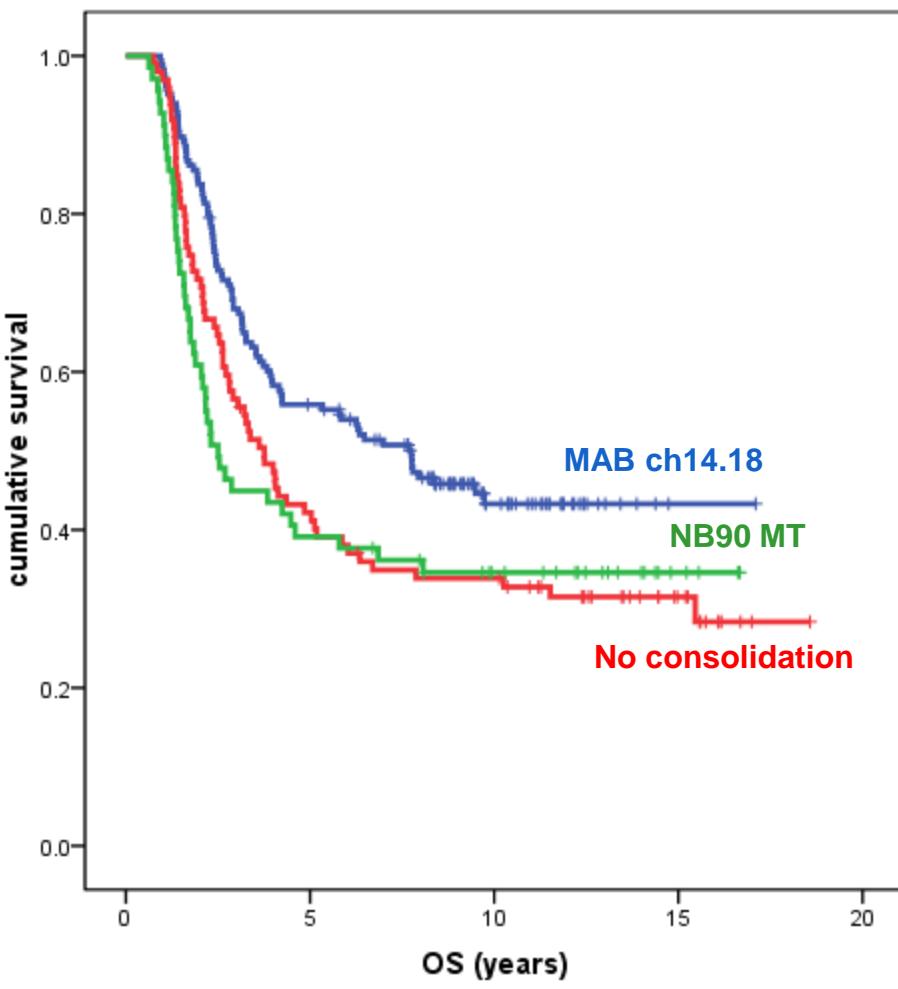
\*Yu AL et al. 2014; abstract PL013 Advances in Neuroblastoma Research; Cologne 13.-16th May, 2014, p.108

# GPOH trial





MAB vs. no  
vs. MT      p = 0.038  
                  p = 0.147

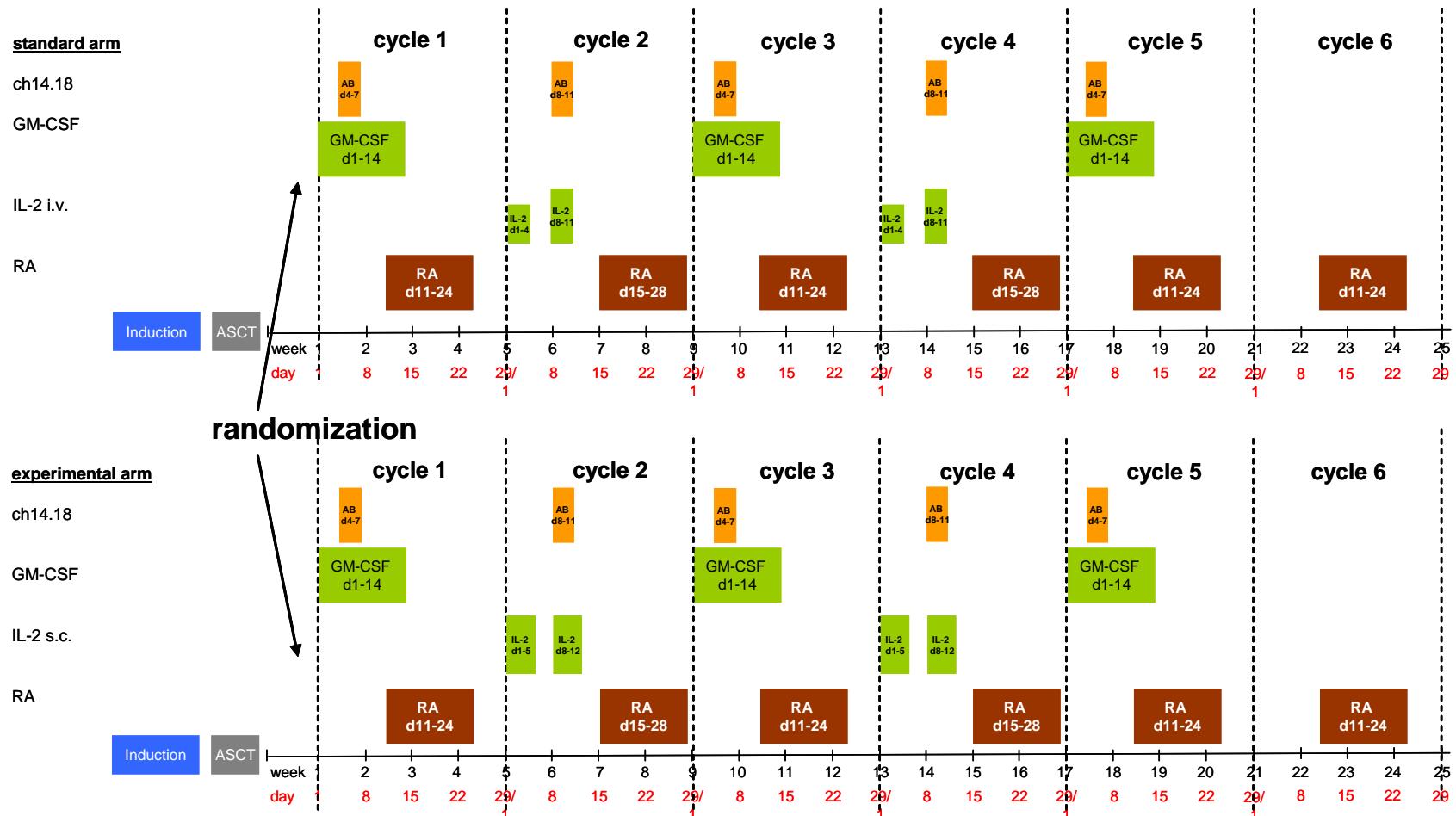


MAB vs. no  
vs. MT      p = 0.016  
                  p = 0.023

# Side effects (695 ch14.18 cycles, 151 patients)

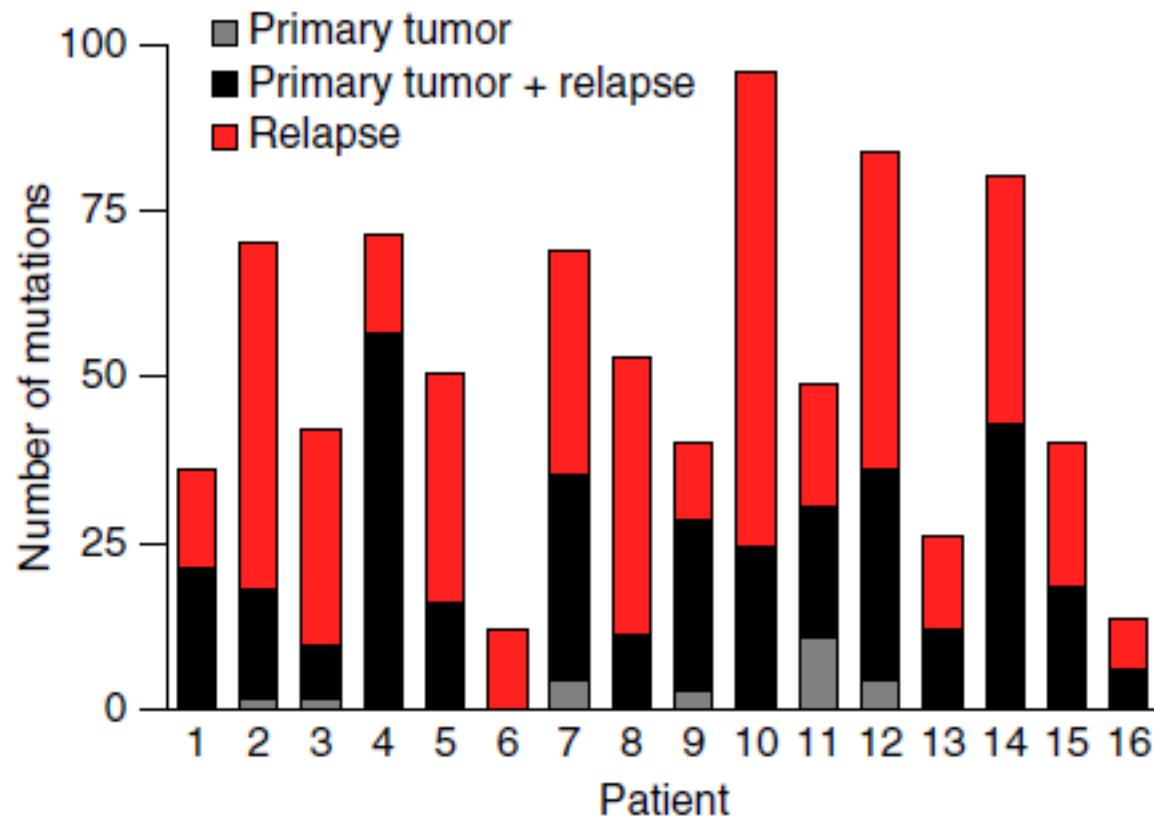
	% of courses	% of patients
<b>Fever</b>	<b>53</b>	<b>82</b>
<b>Elevated CRP</b>	<b>34</b>	<b>57</b>
<b>Cough</b>	<b>24</b>	<b>52</b>
<b>Rash</b>	<b>22</b>	<b>54</b>
<b>Pain despite analgesia</b>	<b>15</b>	<b>31</b>
<b>Itching</b>	<b>8</b>	<b>21</b>
<b>Arterial hypotension</b>	<b>6</b>	<b>13</b>
<b>Abnormal liver enzymes</b>	<b>5</b>	<b>12</b>
<b>Oedema</b>	<b>5</b>	<b>12</b>
<b>Nausea/vomiting</b>	<b>4</b>	<b>15</b>
<b>Pulmonary obstruction</b>	<b>2.2</b>	<b>7.4</b>
<b>Ocular symptoms</b>	<b>1.5</b>	<b>5.1</b>
<b>Oxygen requirement</b>	<b>0.9</b>	<b>4.0</b>
<b>Capillary leak syndrome</b>	<b>0.4</b>	<b>1.7</b>
<b>Febrile convulsions</b>	<b>0.2</b>	<b>1.2</b>
<b>Guillain Barré Syndrome (VZV)</b>	<b>0.1</b>	<b>0.6</b>

# NB2013-HR pilot GPOH/DCOG trial



# **Studies on neuroblastoma recurrences**

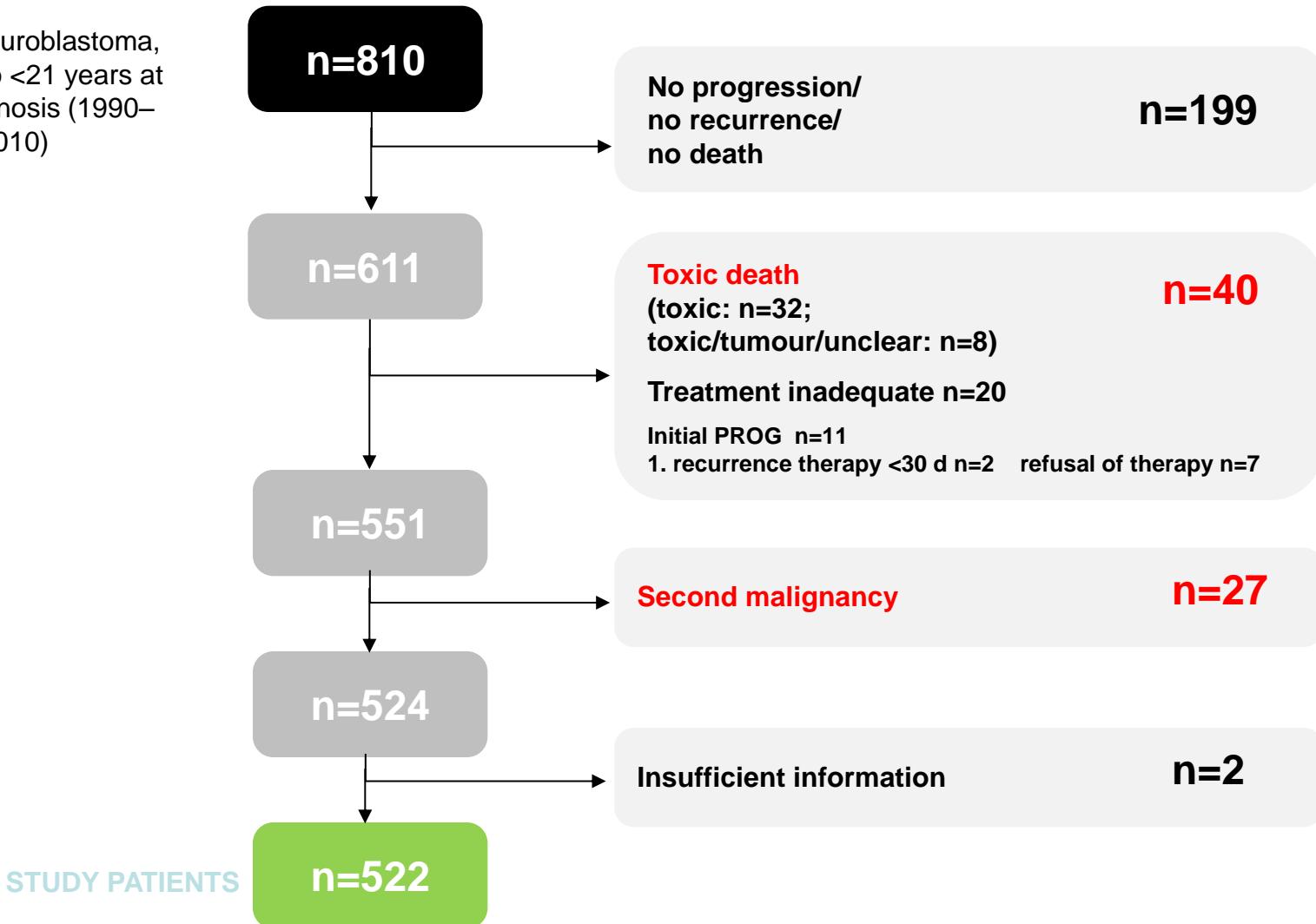
## Number of mutations in coding regions in matched pretreatment primary and relapse neuroblastomas \*



\* Schramm A et al. Nature Genetics 2015; 47 (8); 872-8

# A significant number of high-risk neuroblastoma patients experience relapse

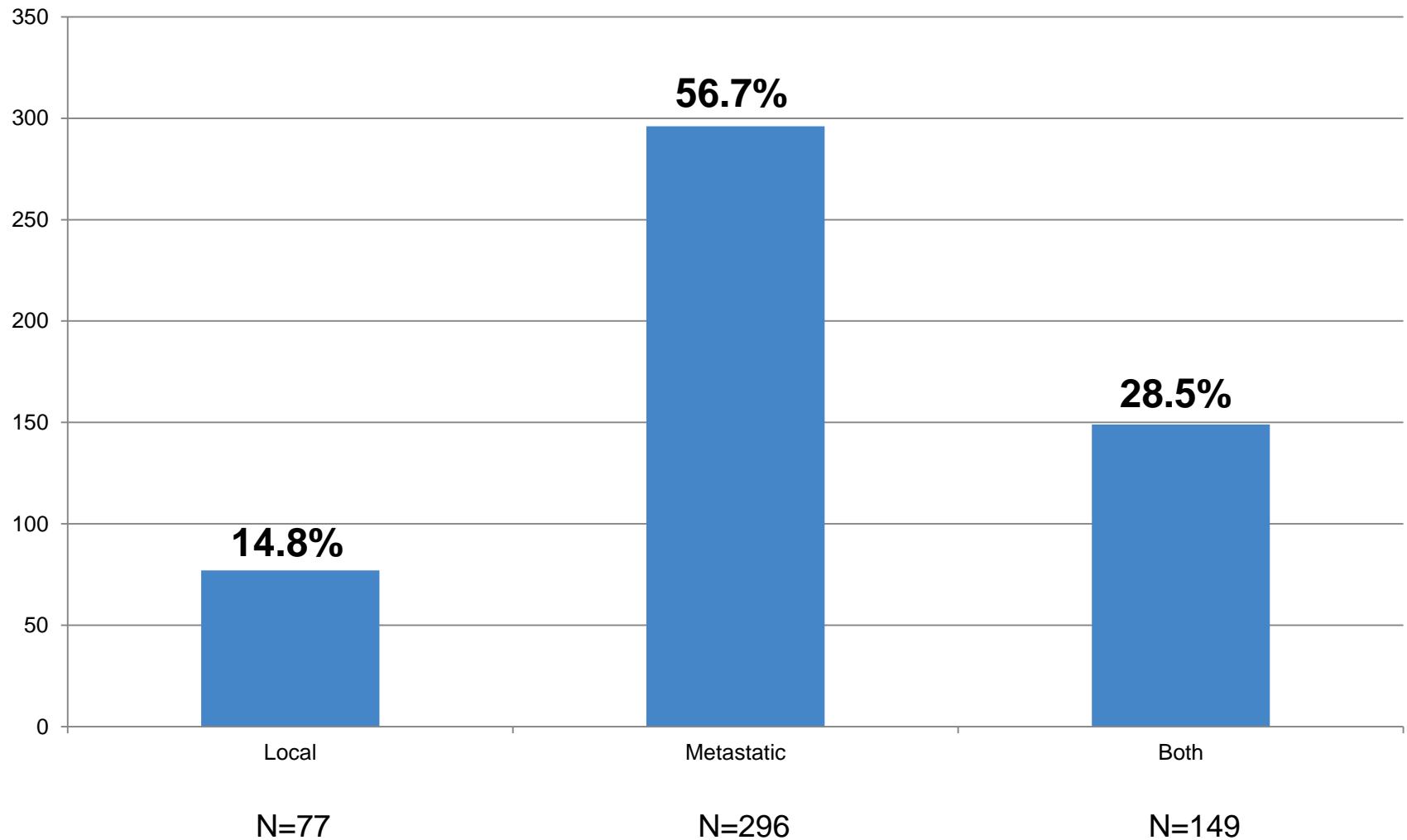
Stage 4 neuroblastoma,  
aged 1.5 to <21 years at  
initial diagnosis (1990–  
2010)



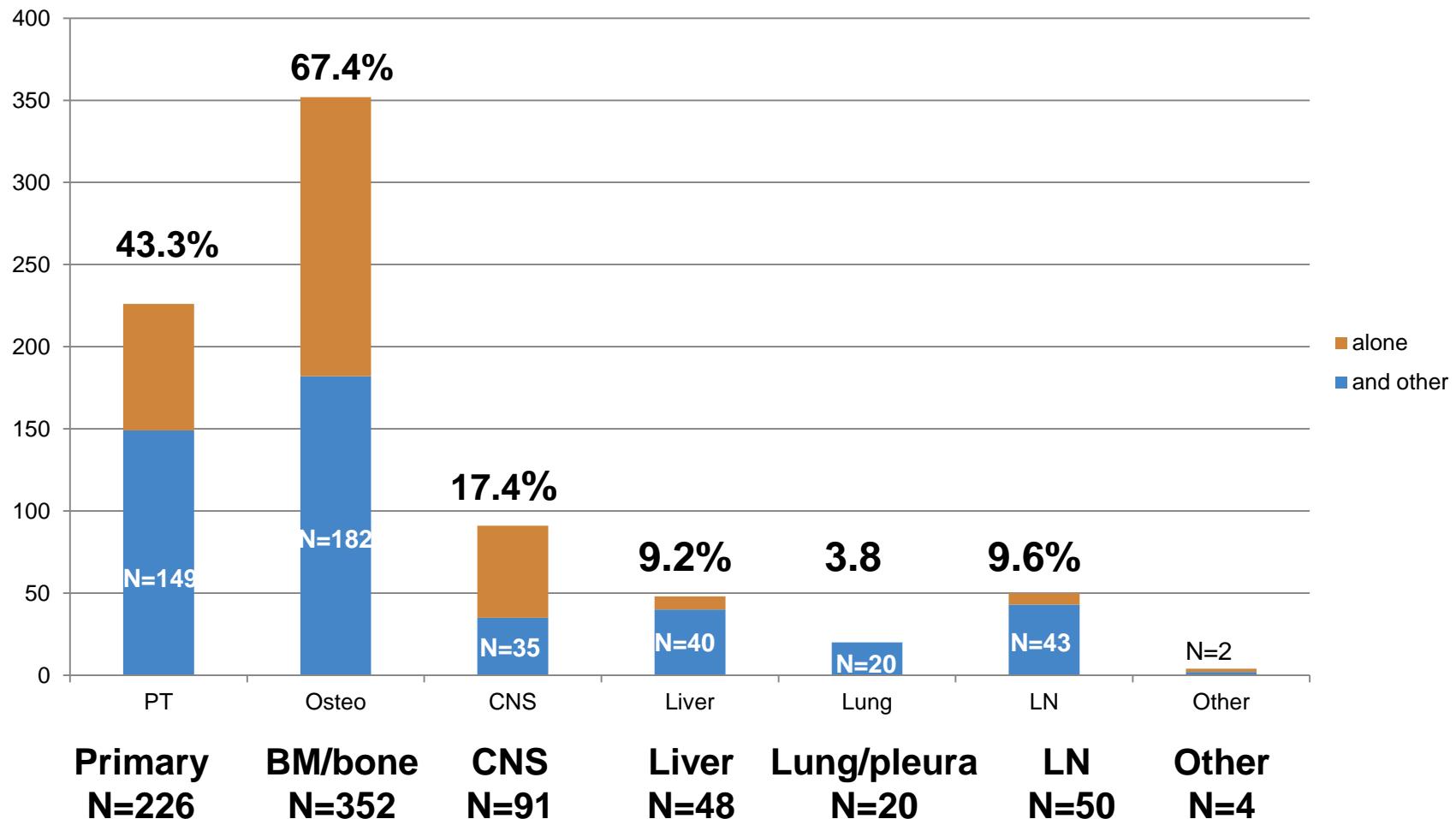
STUDY PATIENTS

Data unpublished

# Local vs. metastatic recurrence in stage 4 neuroblastoma



# Frequencies of sites of 1. recurrences in stage 4 neuroblastoma (n=522)



# Results

## therapy

none/ palliative	35.5 %
chemotherapy	46.3 %
chemotherapy + ASCT	<u>18.2 %</u>
	100.0 %

## median time

1. → 2. recurrence	4.1 months
1. recurrence → death of tumor	9.8 months

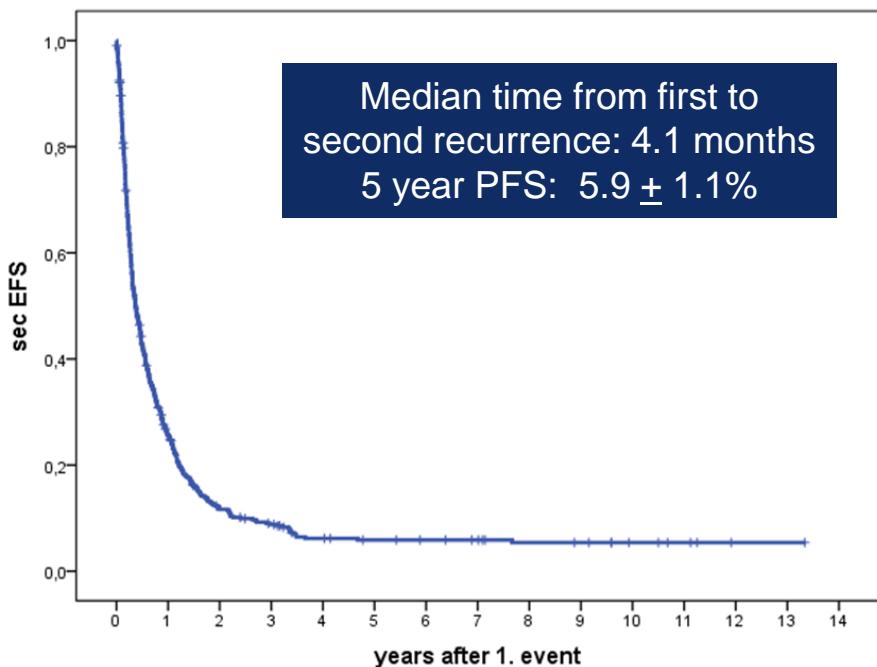
## Outcome

5 year EFS	$5.9 \pm 1.1\%$
5 year OS	$9.8 \pm 1.4\%$

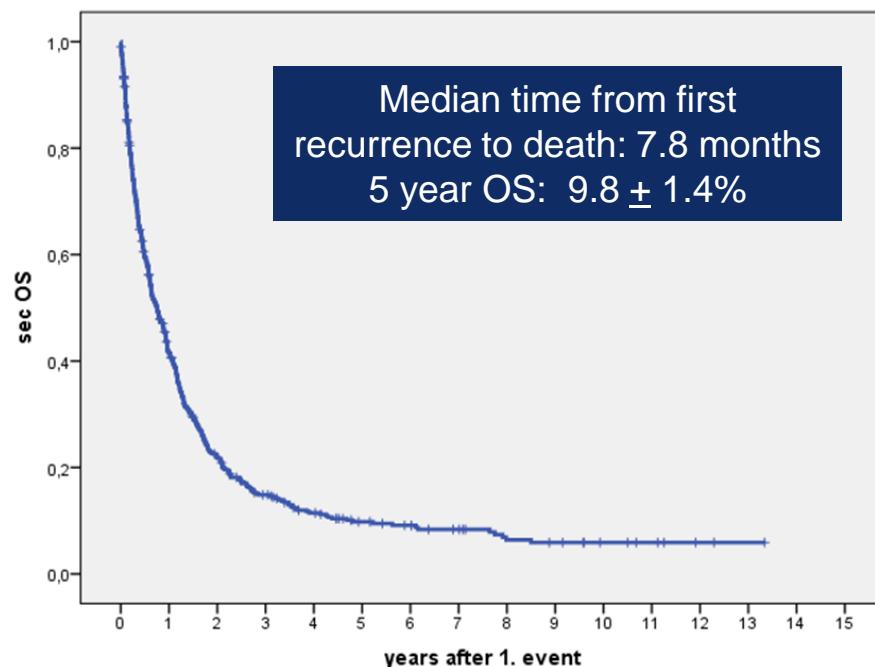
# Relapse of stage 4 neuroblastoma carries a particular poor prognosis

Age >18 months at diagnosis, first recurrence (n=522)

Secondary progression free survival

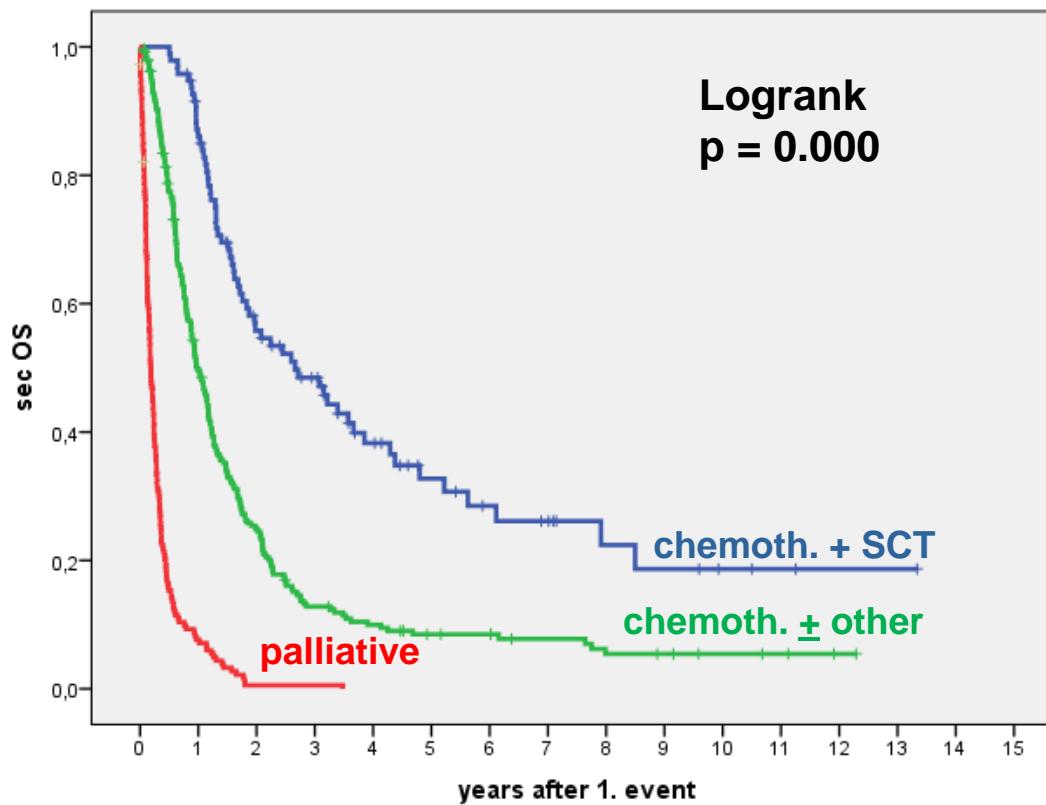


Secondary overall survival



PFS, progression-free survival; OS, overall survival  
Data unpublished

# Secondary OS of 521 patients with stage 4 neuroblastoma by treatment approach



chemotherapy and SCT n=95

chemotherapy  $\pm$  other therapy n= 242

palliative therapy n=185

# Conclusions

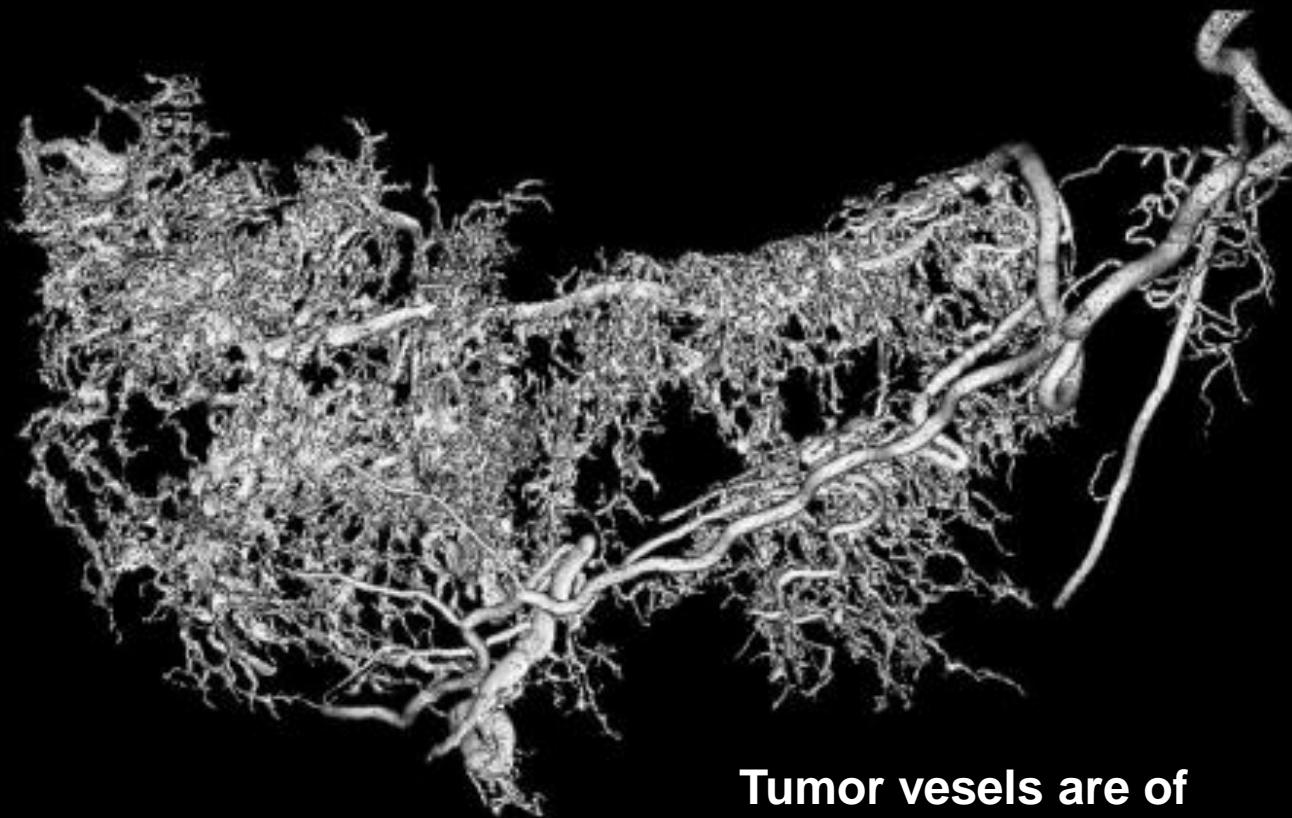
- **1/3 of patients received palliative care only**
- **Significant survival chances only for the minority of patients responding to second line chemotherapy and undergoing ASC**

# Vasculation of normal kidney



Kidney vessels are fractal  
and pruned

## Vasculation of neuroblastoma

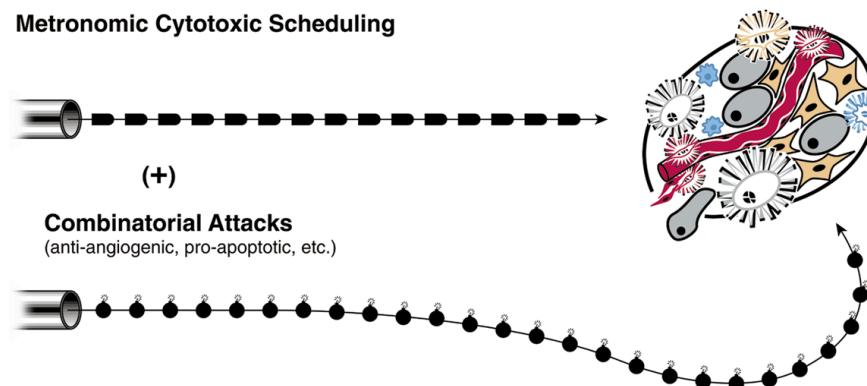


**Tumor vessels are of  
embryonal type**

Courtesy of Gianulla Klement

# METRO-NB 2012: Metronomic treatment

- Defined by frequent and continuous use of low doses of conventional chemotherapeutics
- Combination with antiinflammatory and/or antiangiogenic drugs
- Targets: angiogenesis and anti-cancer immunity



# **Drugs used**

**Celecoxib (anti-neuroblastic, effect on microenvironment)**

**2 x 200 mg/m<sup>2</sup>xd d1-365 oral**

**Cyclophosphamide (anti-neuroblastic, anti-angiogenetic)**

**1 x 25 mg/m<sup>2</sup>xd d1-365 oral**

**Etoposide (anti-neuroblastic, anti-angiogenetic)**

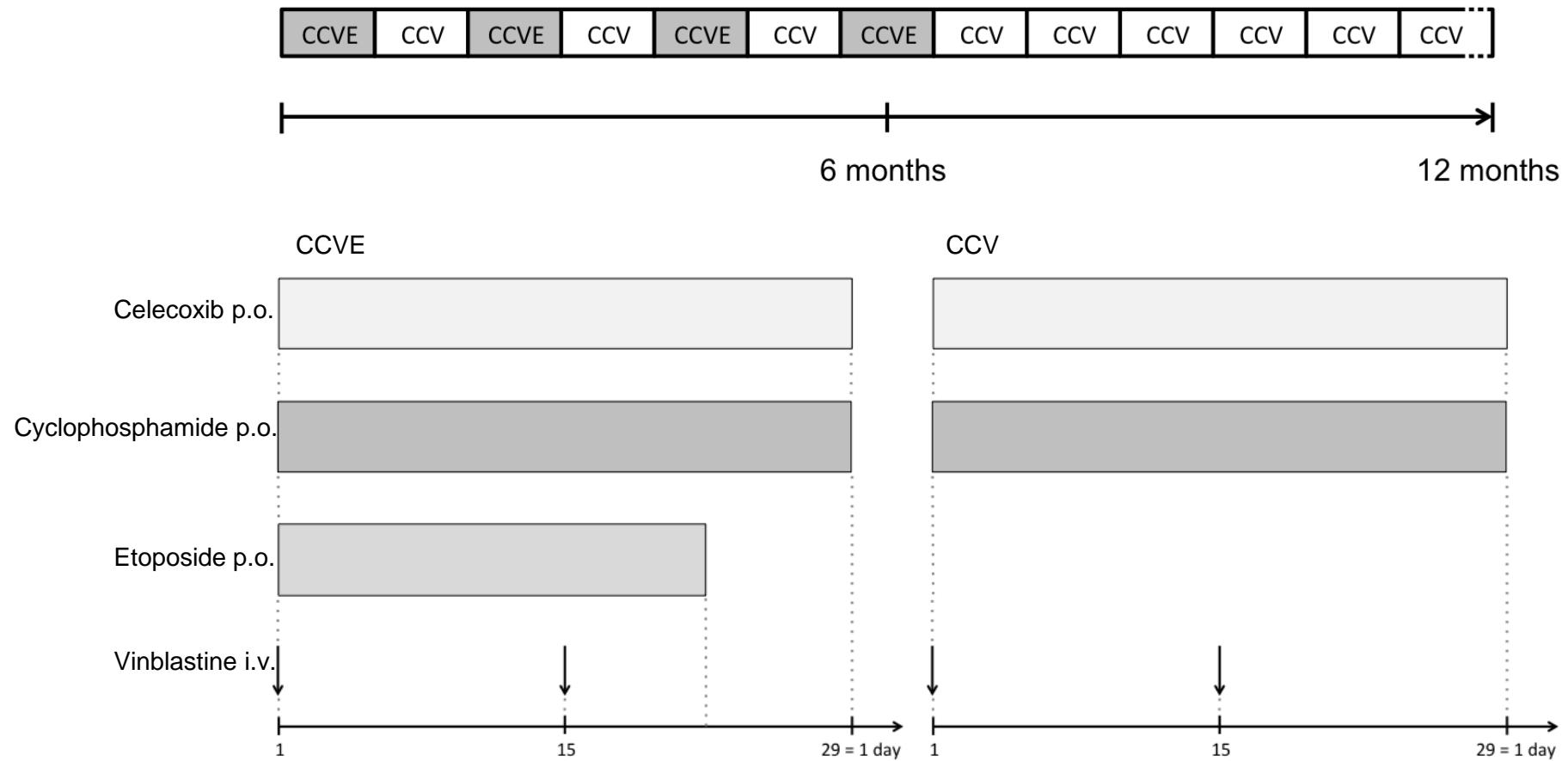
**1 x 25 mg/m<sup>2</sup>xd d1-21 for 4 cycles oral**

**Vinblastin (anti-neuroblastic, anti-angiogenetic)**

**1x 3 mg/m<sup>2</sup>xd every 14 days**

**Duration of treatment: until event, if no event up to 24 months**

## METRO-NB 2012 Treatment overview



# **Results (1) in 20 pilot patients**

**international collaboration: St. Petersburg, Minsk, Brno, Cologne**

**Number of recurrences before metronomic therapy:**

**1 recurrence: 1 patient**

**2-4 recurrences: 19 patients**

**Number of recurrent sites:**

**1 site: 5 patients**

**2 sites: 11 patients**

**3 sites: 1 patient**

**Sites of recurrences:**

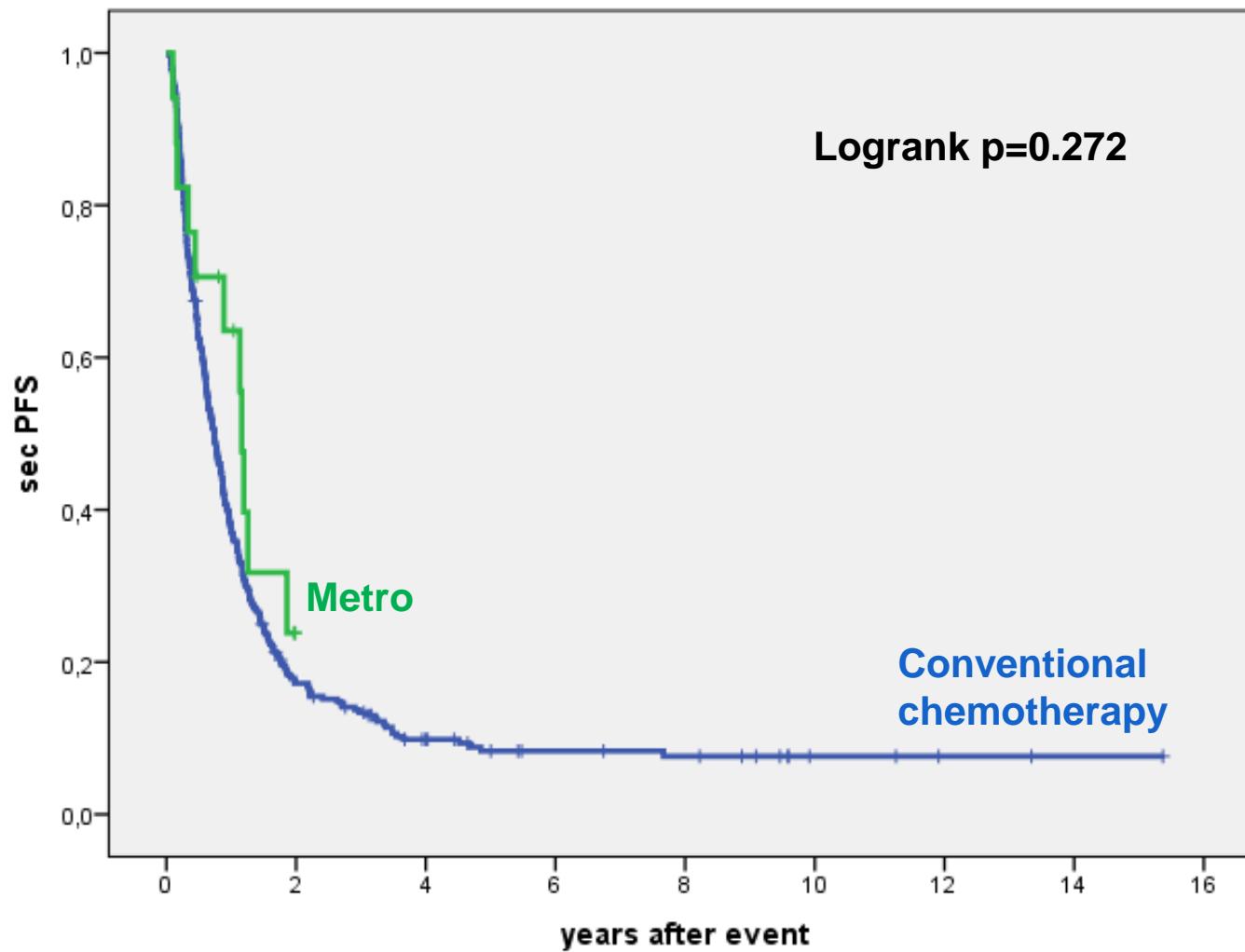
**Primary tumor: 12 patients**

**Osteomedullary recurrences: 12 patients**

**CNS recurrence: 3 patients**

**Liver 1 patient**

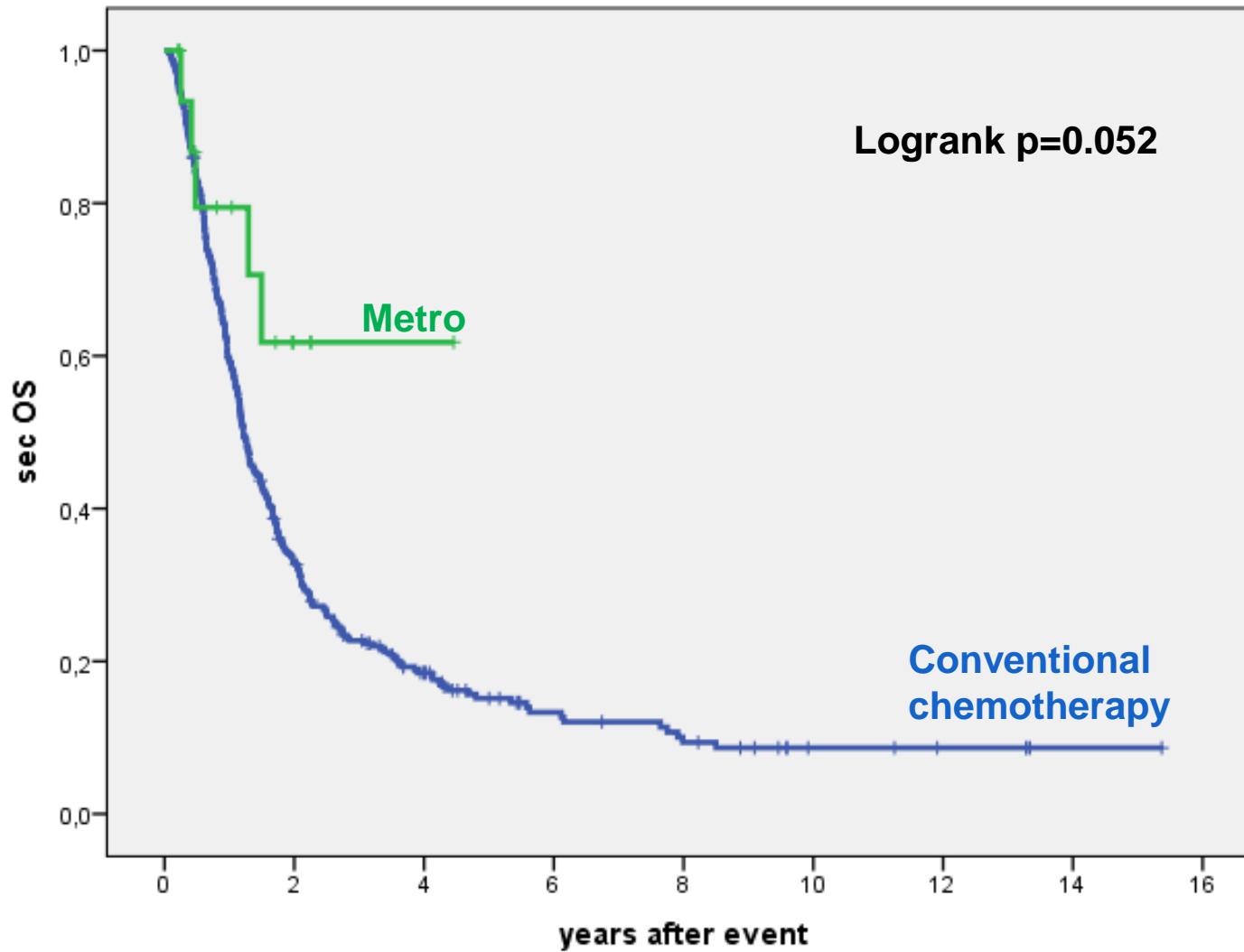
**lymph nodes: 1 patient**



## Secondary progression free survival of patients with recurrent HR-neuroblastoma by type of chemotherapy

Control group weighted for number of recurrence sites (1 vs. >1) and time from first dx to 1. recurrence (<vs.>18 mo.)

Metronomic therapy (n=17)	median sec. PFS 16.2 months
Conventional chemotherapy (n= 307)	median sec. PFS 8.8 months



## Secondary overall survival of patients with recurrent HR-neuroblastoma by type of chemotherapy

Control group weighted for number of recurrence sites (1 vs. >1) and time from first dx to 1. recurrence (<vs.>18 mo.)

Metronomic therapy (n=17)

Conventional chemotherapy (n= 307)

median sec. OS 14.6 months

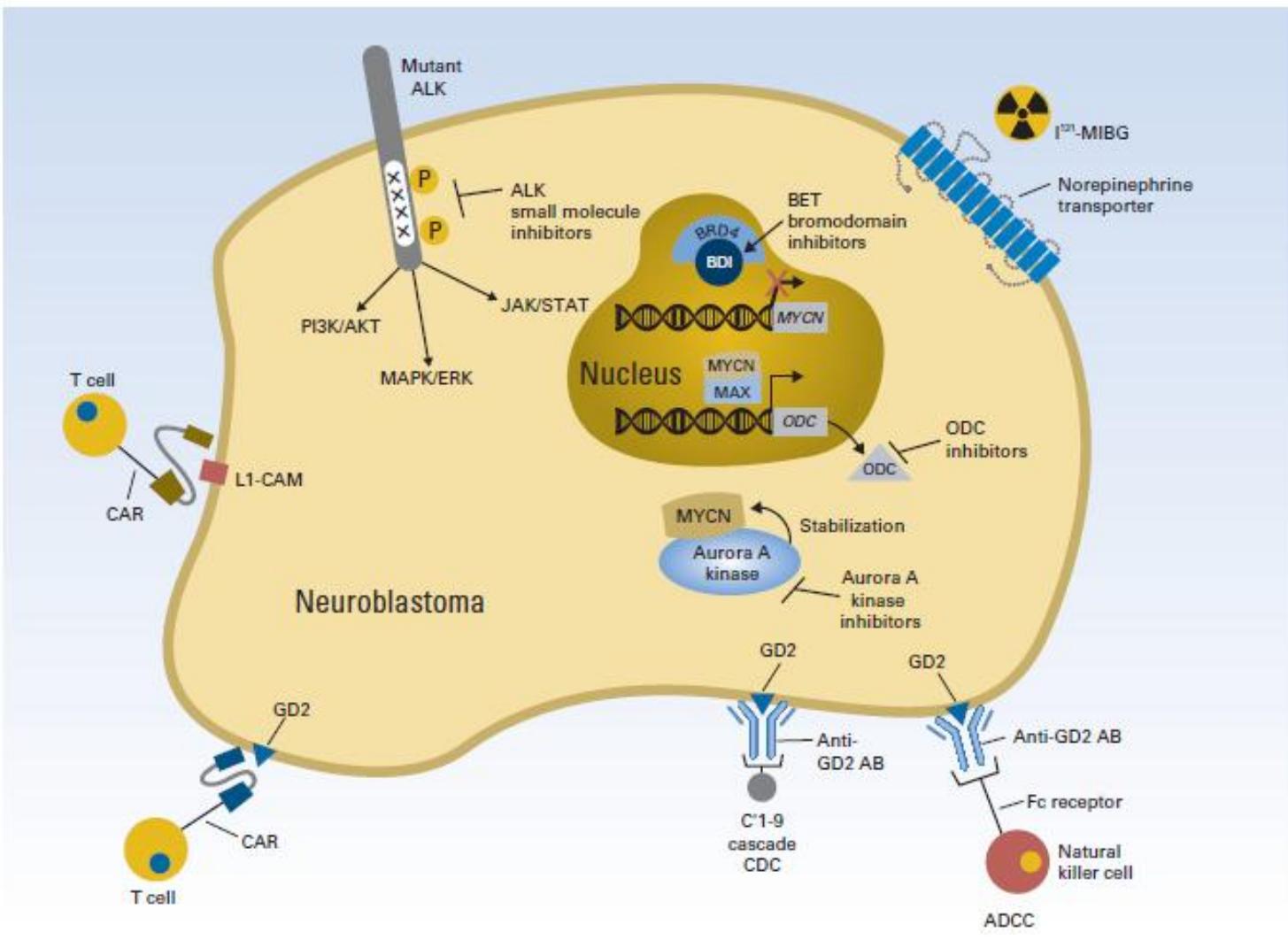
## **Results (2) in 20 pilot patients**

### **Toxicity**

**Minimal except grade 2 – 3 thrombocytopenia / leukocytopenis / anemia  
(all patients heavily pretreated)**

**Outpatient setting**

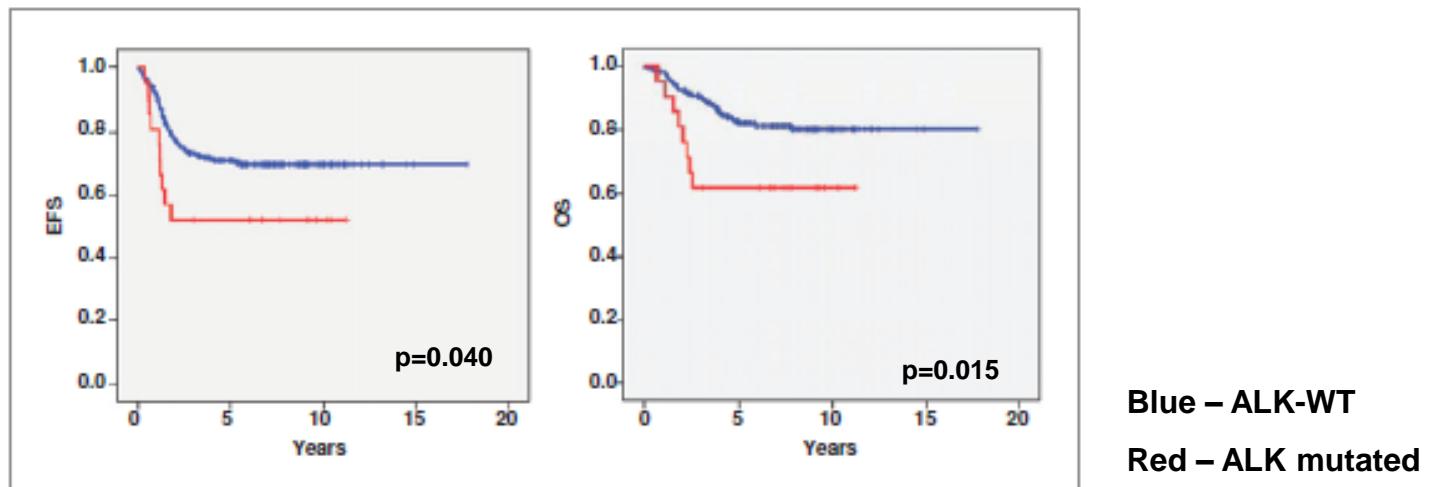
# Current clinical approaches in targeting neuroblastoma.



Pinto NR et al. 2015; J Clin Oncol 33 Doi:10.1200/JCO.2014.59.4648

# Prevalence of ALK mutations in neuroblastoma subtypes

- Mutation frequency in neuroblastoma:  
~8% non-synonymous nucleotide substitution, ~1% focal amplification
- Association of ALK mutations with clinical variables:  
significant correlation with *MYCN* amplification
- Slight association of ALK mutations with poor outcome:



## Treatment of ALK<sup>mut</sup> neuroblastoma patients with ALK inhibitors *Lancet Oncol* 2013; 14: 472–80:



### Safety and activity of crizotinib for paediatric patients with refractory solid tumours or anaplastic large-cell lymphoma: a Children's Oncology Group phase 1 consortium study

Yael PM Mossé, Megan S Lim, Stephan DVoss, Keith Wilner, Katherine Ruffner, Julie Laliberte, Delphine Rolland, Frank M Balis, John MM Maris, Brenda J Weigel, Ashish M Ingere, Charlotte Ahern, Peter C Adamson, Susan M Blaney

- - 11 neuroblastoma patients with ALK mutations; 1 CR, 3 SD
  - 23 neuroblastoma patients with unknown ALK status; 1 CR, 5 SD
- Specific mutations (such as F1174L) confer crizotinib resistance to ALK mutated malignancies
- Second generation ALK inhibitors with greater preclinical antitumor potency, such as LDK378, may overcome crizotinib resistance

## **Treatment of ALK<sup>mut</sup> neuroblastoma patients with ALK inhibitors**

**A Phase I, open-label, dose escalation study of LDK378 in pediatric patients with malignancies that have a genetic alteration in anaplastic lymphoma kinase (ALK)**

**Daily oral treatment**

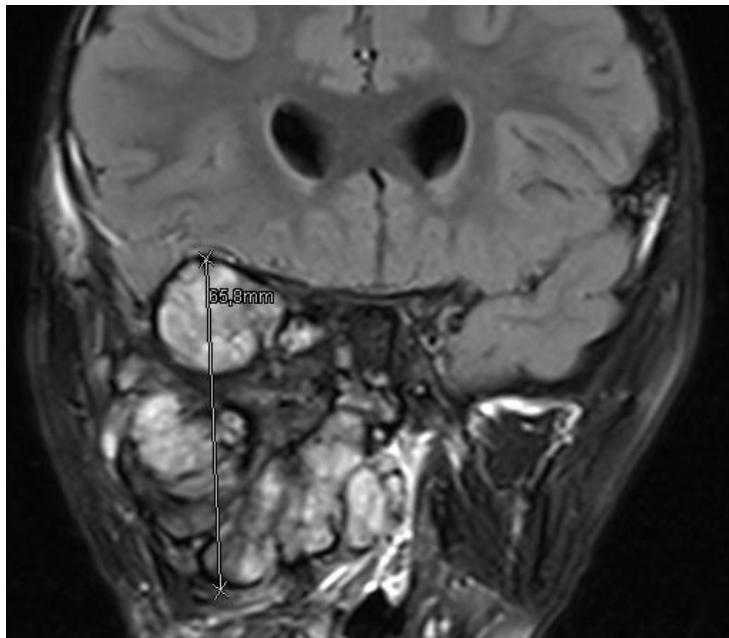
**Age:  $\geq 1$  -  $\leq 17$  years, progressive disease, Karnowsky  $\geq 60$**

**Pre-treatment with crizotinib allowed**

# Treatment of ALK<sup>mut</sup> neuroblastoma patients with ALK inhibitors

- 4-year old girl, 3. relapse of stage 4 neuroblastoma at skull base, ALK F1245V mutation
- LDK378 treatment July-November 2014

17.06.2014



15.08.2014



November 2014:metastatic progress (BM, bones, lung, local. NSE 635 µg/L)  
June 2015: death of tumor progression



## Parents & Patients

### Neuroblastoma Trial Office:

Frank Berthold, Thorsten Simon  
Boris De Carolis, Maike Reisberg, Nina Hindrichs  
Martina Breuer, Monika Schmitz

> 80 participating Hospitals

German Children Tumor registry,  
Institute for Paedopathology, Kiel  
Dieter Harms, Ivo Leuschner

### Tumorbank/Bone marrow lab:

Heike Düren, Nadine Hemstedt  
Witali Lorenz  
Roswitha Schumacher, Anke Gradehandt,  
Petra Kirschner

### German Children's Cancer Registry, Mainz

Claudia Spix  
Peter Kaatsch

### Reference laboratories

Rüdiger Spitz, Jessica Theissen, Falk  
Hertwig, Köln  
Frank Westermann, Manfred Schwab, DKFZ  
Heidelberg  
Freimut H. Schilling, Stuttgart  
Felix Niggli, Zürich

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Pediatric Molecular Oncology, University Children's Hospital of Cologne:

André Oberthür  
Carolina Sterz  
Yvonne Kahlert  
Anne Engesser

Neuroblastoma Trial Office of the GPOH, University of Cologne:

Barbara Hero  
Jessica Theissen  
Thorsten Simon  
Frank Berthold

Department of Theoretical Bioinformatics, DKFZ, Heidelberg:

Benedikt Brors  
Dilafruz Juraeva  
Roland Eils

Department of Tumor Genetics, DKFZ, Heidelberg:

Frank Westermann  
Manfred Schwab

Institute for Medical Biometry, Epidemiology and Informatics, University Hospital Mainz:

Andreas Faldum  
Rene Schmidt

Department of Pathology, University of Cologne:

Monika Ortmann

Center for Cancer and Blood Diseases, Children's Hospital Los Angeles, USA:

Shahab Azgharzadeh  
Robert Seeger

Chiba Cancer Center Research Institute, Chuoh-ku, Chiba, Japan:

Miki Ohira  
Akira Nakagawara

Translational Pediatric Oncology, National Institute for Cancer Research, Genova, Italy:

Paola Scaruffi  
Gian Paolo Tonini

Center for Medical Genetics, Ghent University Hospital, Belgium:

Jo Vandesompele  
Ae le Vermeulen  
Frank Speleman

Institut Curie, INSERM Unit 830, Paris, France:

Isabelle Janoueix-Lerosey  
Gudrun Schleiermacher  
Olivier Delattre

Children's Cancer Leukaemia Group, University of Leicester, UK:

Richard Grundy

Department of Tumor Genetics, Institut Gustave Roussy, Villejuif, France:

Jean Bénard  
Alexander Valent

Children's Hospital, Department of Pediatric Oncology and Hematology, University of Marburg:

Axel Weber  
Holger Christiansen

Department of Pathology, University of Valencia, Spain:

Rosa Noguera  
Marta Piqueras

The MAQC/SEQC consortium

Center of Toxicological Research, FDA, Jefferson, USA:

Leming Shi

Supported by:

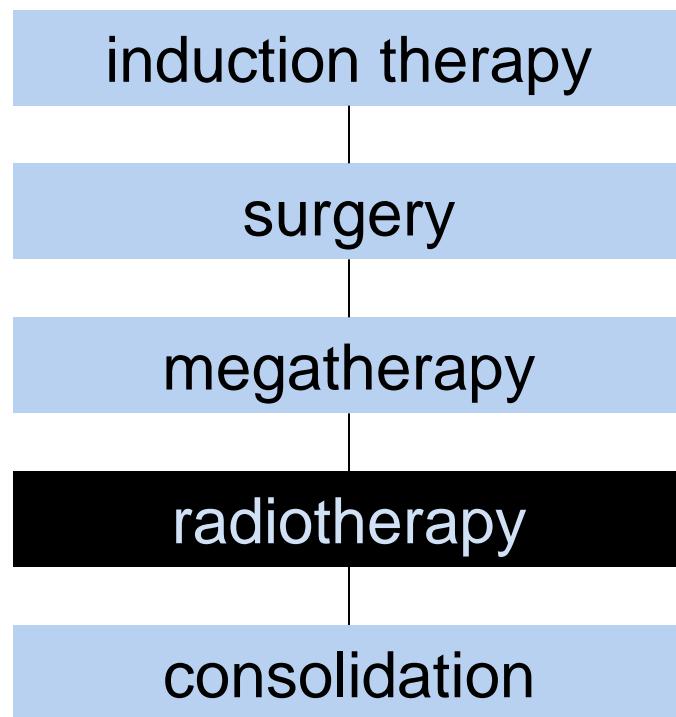
Deutsche Krebshilfe  
NGFN2, NGFN-Plus (BMBF)  
Fördergesellschaft Kinderkrebs Neuroblastom-Forschung e.V.  
Cologne Center for Molecular  
Auerbach-Stiftung  
Köln-Fortune







# Therapy in High Risk Neuroblastoma



# **Radiotherapy**

- **experimental studies: radiosensitivity of neuroblastoma**
  - **clinical experience in palliative care (bone pain)**
  - **GPOH trial NB90**
    - no effect for the whole cohort
    - useful in patients with residual primary ?
    - dose (30 Gy) not sufficient?
- 
- **Trial NB97: radiotherapy of residual primary,**
  - **36 – 40 Gy**

# **Radiation therapy to the primary and postinduction mIBG-avid sites in HR neuroblastoma\***

**30 HR patients, single center experience (Houston)**

**RT to the primary site 24-30 Gy + to mIBG avid mets 24 Gy**

**5 year local control at primary site: 84%**

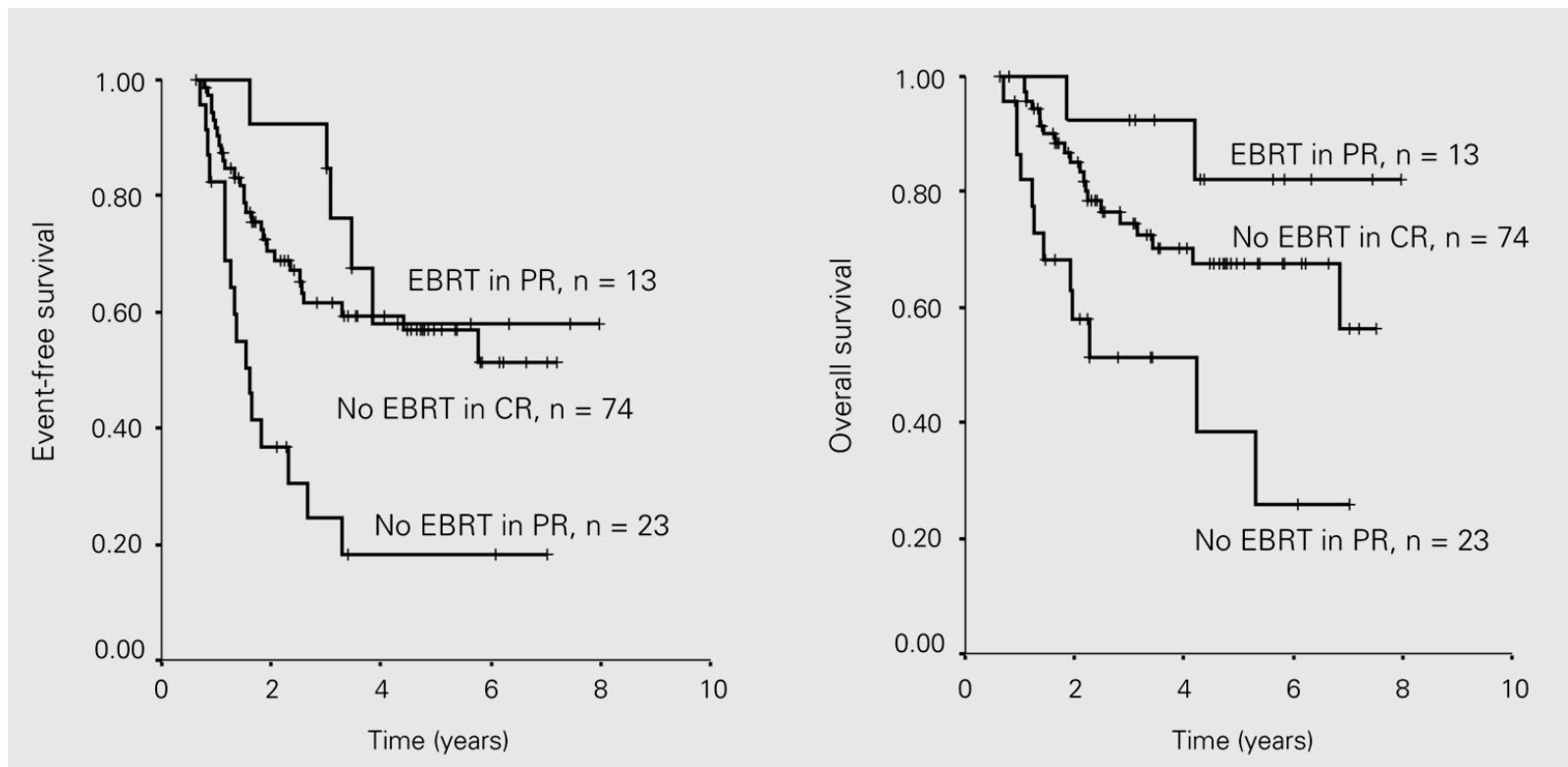
**metastatic sites: 74%**

**5 y PFS: 48%              5 y OS 59%**

**5 y PFS rates for patients with 0, 1,2, >3 sites were 66%, 57%, 20%,  
0%**

**\*Mazloom A et al. 2014; Int J Radiation Oncol Biol Physics 90:858-62**

# NB97: Radiotherapy in High Risk Neuroblastoma



# **radiotherapy in HR neuroblastoma**

**Indication (NB2004-HR):**

- **after induction chemotherapy still active, non-resectable primary tumor**
- **36-40 Gy**

**data suggest:**

**irradiation may compensate surgical non-resectability**

# **Metaanalysis on mIBG radiotherapy\***

## **Study:**

**30 studies, no randomized controlled trials**

**1987-2012**

**979 patients (range 10-164)**

**Induction: 57 patients**

**Consolidation 11 patients**

**Relapsed and refractory pat. 911 patients**

## **Results:**

**Mean tumor response rate: 32% (0-75%)**

**Large heterogeneity between the studies**

**RCT urgently needed**

\*Wilson JS et al. (2014) Eur J Cancer 50:801-15

# **Long term results stage 4 retinoic acid maintenance therapy (COG)\***

<b><u>Regimen</u></b>	<b>5 year EFS %*</b>	<b>5 year OS %*</b>
*from the time of second randomization		
Retinoic acid + (n=130)	<b>42 ± 5</b>	<b>50 ± 5</b>
Retinoic acid - (n=128)	<b>31 ± 5</b>	<b>39 ± 5</b>
P	0.12	0.19

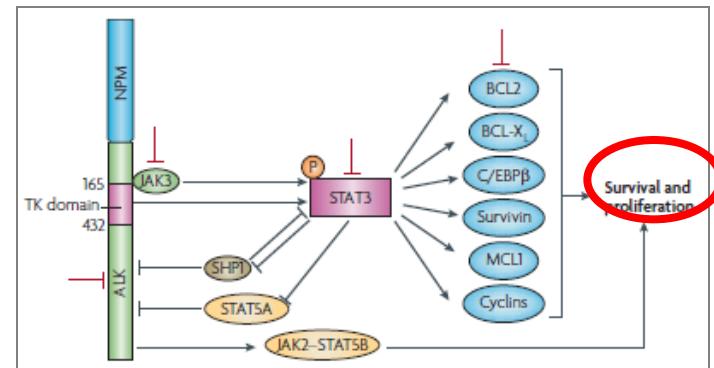
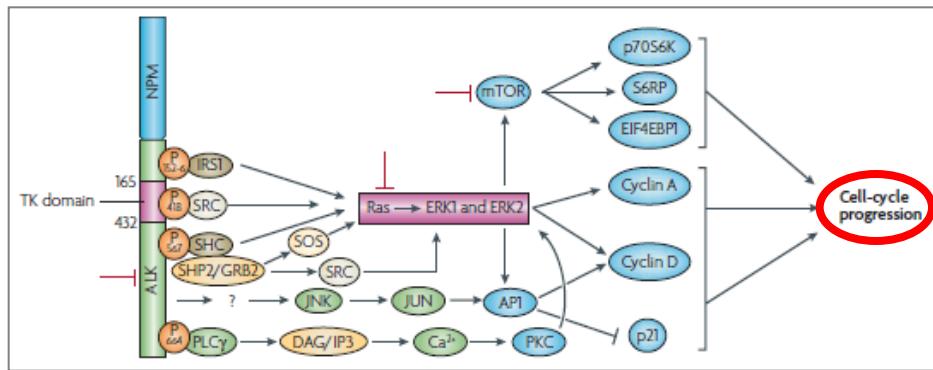
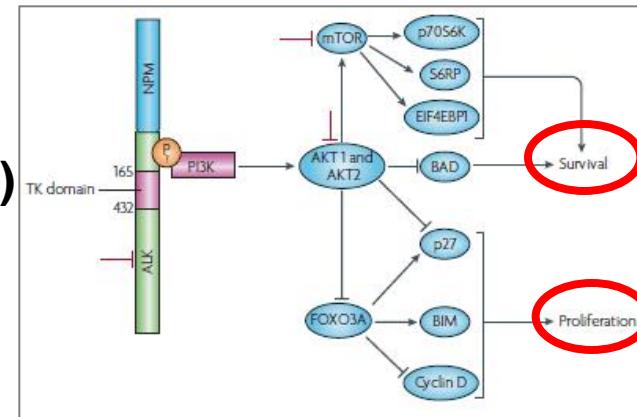
**→ no statistical difference,  
evidence for RA use is uncertain**

\*Matthay KK et al. J Clin Oncol (2009) 27:1007-13 and June 10th 2014:1862-63

Peinemann F et al The Cochrane Database of Systematic Reviews 2015; DOI10.1002/14651858

# ALK signaling in cancer

- Anaplastic lymphoma kinase (ALK) is a receptor tyrosine kinase
- function of the full-length ALK receptor is still poorly characterized; ALK is involved in neuronal cell differentiation and regeneration, synapse formation and muscle cell migration
- activating ALK mutations (fusion genes, amplification, nucleotide substitution) occur in several cancer entities (ALCL, NSCLC, IMT, NB)
- ALK signalling in cancer:



# Conclusion and perspectives of targeted therapy

## Example ALK

- **ALK is a bona fide cancer gene in neuroblastoma**
- **promising therapeutic strategy for the treatment of high-risk patients with ALK mutated neuroblastoma.**
- **A phase I clinical trial of the ALK inhibitor LDK378 in pediatric patients currently ongoing (Novartis trial-no. LDK378X2103).**

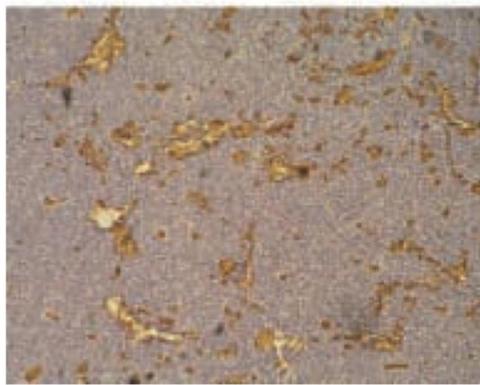
## General strategy

- **Therapies targeting specific pathways/mutations will rather complement existing treatment regimens than lead to their replacement**
- **The added complexity of the cancer will need to screen patients for biomarkers and other response predictors**

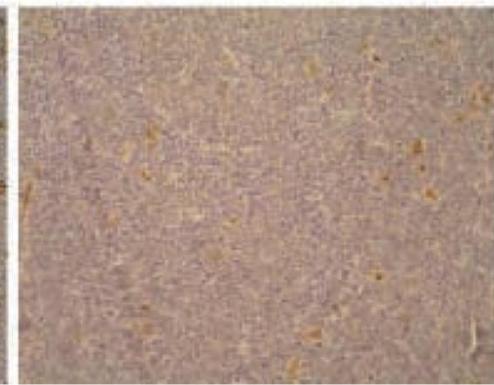
# **Identification of molecular targets for high-risk neuroblastoma treatment**

# Celecoxib - COX-2 Inhibition

CTRL

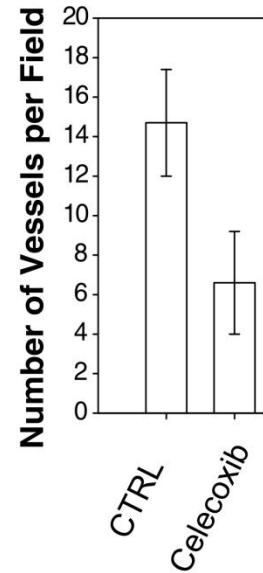


Celecoxib



Ponthan 2007

Rats carrying SH-SY5Y xenografts; BS-1 staining endothelial cells



- **antiangiogenic**
- **anti tumorpromoting activities of tumor-associated macrophages**  
gene expression↑ in metastatic > locoregional NB (Asghardzadeh 2012)
- **synergism with cytostatic drugs:** tumor growth↓; Bax,BCL-2, VEGF, caspases↓  
Kaneko 2009, Redova 2010
- **NB cells express high levels of COX-2:** growth↓, apoptosis↑ (Johnson 2004)